# SOME COEFFICIENT PROBLEMS FOR STARLIKE FUNCTIONS

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# 0. Introduction

Consider the class  $S^*$  of normalized starlike functions f in the unit disc  $D = \{ |z| < 1 \}$ , whose basic results were established by *Rolf Nevanlinna* in his paper [6], and write

(0.1) 
$$f(z) = z + a_2 z^2 + \dots + a_n z^n + \dots$$

The mapping

associates to each f a point in  $C^{n-1}$  and takes  $S^*$  onto some compact set  $S_n^*$  which is called the *n*-th coefficient body for the class  $S^*$ . This paper deals with some basic properties of  $S_n^*$ . It will be proved that  $S_n^*$  is homeomorphic to a ball in  $C^{n-1}$ , that for each boundary point a of  $S_n^*$  there is only one function f in  $S^*$  such that  $A_n(f) = a$  and that  $A_n(f)$  is on the boundary of  $S_n^*$  if and only if f takes the unit disc onto a domain which is bounded by at most n-1 rays

$$(0.3) R_j = \{ z = t e^{i\alpha_j} \mid t \ge r_j \}, \alpha_1 < \alpha_2 < \dots < \alpha_m < \alpha_1 + 2\pi ,$$

 $1 \leq m < n$ . Contrary to the analogous problem for the class S (cf. [9] for example) the situation here is very explicit and elementary. The basic idea is to consider an analogous coefficient problem for the Carathéodory class C of functions g holomorphic in the unit disc which have positive real part and are normalized by the condition g(0) = 1. For each n the expansion

(0.4) 
$$g(z) = 1 + 2c_1 z + ... + 2c_n z^n + ...$$

defines a mapping

$$(0.5) \qquad \qquad \gamma_n: \ g \mapsto (c_1 \ , \ \dots \ , \ c_n)$$

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of C onto some compact set  $C_n$  in  $C^n$ , which is called the *n*-th coefficient body for the class C. The basic properties of  $C_n$  (Theorem A) are due to C. Carathéodory and O. Toeplitz; for completeness a full proof will be given in the third part of the paper.

 $C_n$  is a convex body in  $C^n$ , hence  $C_n$  admits for each boundary point a supporting hyperplane. This fact gives a set of inequalities for  $a_2, \ldots, a_{n+1}$ relative to a boundary point  $(a_2^0, \ldots, a_{n+1}^0)$  of  $S_{n+1}^*$ . Among them there are coefficient inequalities for the class  $S^*$  (Theorem 2) which are quite similar to those the extended general coefficient theorem of J. A. Jenkins gives for the class S. They imply that some sections of  $S_n^*$  are convex, i.e. if  $a^0 = (a_2^0, \ldots, a_{\varrho}^0)$  is a point of  $S_{\varrho}^*$  and if  $W_n(a_2^0, \ldots, a_{\varrho}^0)$  is the set of points  $(a_{\varrho+1}, \ldots, a_n)$  in  $C^{n-\varrho}$  such that  $(a_2^0, \ldots, a_{\varrho}^0, a_{\varrho+1}, \ldots, a_n)$  is in  $S_n^*$ , then  $W_n(a_2^0, \ldots, a_{\varrho}^0)$  is strictly convex for each point  $a^0$  in  $S_{\varrho}^*$ provided that  $n \leq 2 \varrho$ , and this bound for n is sharp.

## 1. The *n*-th coefficient body

**1.1.** In this section we will prove the following

Theorem 1. The n-th coefficient body  $S_n^*$  is homeomorphic to a ball in  $C^{n-1}$ . For each point a on the boundary of  $S_n^*$  there is only one function in  $S^*$  which is taken onto a by the mapping  $A_n$  while  $A_n^{-1}(a)$  is an infinite set in  $S^*$  if a is in the interior of  $S_n^*$ .  $A_n(f)$  is on the boundary of  $S_n^*$  if and only if there are distinct points  $\varkappa_1, \ldots, \varkappa_m$  on the unit circumference  $\{ |z| = 1 \}$  and positive numbers  $\mu_1, \ldots, \mu_m$  where  $\sum_{j=1}^m \mu_j = 1$ and  $1 \leq m < n$  such that

(1.1) 
$$f(z) = z \frac{m}{\prod_{1}} (1 - \varkappa_j z)^{-2\mu_j}.$$

Given the boundary point a, the numbers m,  $\varkappa_i$  and  $\mu_i$  are unique.

R e m a r k. f takes the unit disc onto a domain which is bounded by m rays (0, 3), where  $\alpha_{j+1} - \alpha_j = 2 \pi \mu_j$ , j = 1, ..., m. Conversely, any m such rays,  $1 \leq m < n$ , up to a suitable homothety  $z \rightarrow rz$ , r > 0, determine via the mapping function a point on the boundary of  $S_n^*$ .

Since f belongs to  $S^{\ast}$  if and only if  $z\,f'(z)\,/\,f(z)$  is in  $\,C\,,$  the differential equation

(1.2) 
$$z f'(z) = g(z) f(z)$$

establishes a homeomorphism between C and  $S^*$  if C and  $S^*$  are provided with the topology of uniform convergence on compact subsets of D. Equation (1.2) implies the following relations between the coefficients  $a_i$  and  $c_j$  in (0.1) and (0.4) respectively:  $a_2 = 2 c_1$ ,

(1.3)

$$2 a_3 = 2 (c_2 + a_2 c_1) ,$$
  
(n - 1)  $a_n = 2 (c_{n-1} + a_2 c_{n-2} + \dots + a_{n-1} c_1) .$ 

For each n, n = 2, 3, ..., they define a homeomorphism of  $S_n^*$  onto  $C_{n-1}$ . Hence, for some basic properties of  $S_n^*$ , it suffices to study  $C_{n-1}$ .

**1.2.** The following result is due to C. Carathéodory [1] and O. Toeplitz [10] (cf. also [2]).

Theorem A.  $C_n$  is a convex body in  $\mathbb{C}^n$  containing the origin. To each point  $\zeta = (c_1, \ldots, c_n)$  in the interior of  $C_n$  there correspond infinitely many functions in C, i.e.  $\gamma_n^{-1}(\zeta)$  is infinite; but for each point  $\zeta$  on the boundary of  $C_n$  there is only one g in C which is taken onto  $\zeta$  by the mapping  $\gamma_n \cdot \gamma_n(g)$  is on the boundary of  $C_n$  if and only if there are distinct points  $\varkappa_1, \ldots, \varkappa_m$  on the unit circumference  $\{ |z| = 1 \}$  and positive numbers  $\mu_1, \ldots, \mu_m$  such that

(1.4) 
$$g(z) = \sum_{j=1}^{m} \frac{1 + \varkappa_j z}{1 - \varkappa_j z} \mu_j,$$

where  $1 \leq m \leq n$  and  $\sum_{j=1}^{m} \mu_j = 1$ . The numbers  $m, \varkappa_j, \mu_j$  are determined uniquely by the boundary point  $\zeta$ .

Proof of Theorem 1. Theorem A, together with (1.2) and (1.3), immediately implies Theorem 1. Since (1.3) establishes a homeomorphism between the boundaries of  $C_{n-1}$  and  $S_n^*$ , by integration of (1.2), the functions (1.4) give exactly those functions in  $S^*$  which are taken onto the boundary of  $S_n^*$  under the mapping  $A_n$ .

1.3. The implication of Theorem 1 for extremal problems within the class  $S^*$  is immediate. Let  $F(a_2, \ldots, a_n)$  be a real valued function of the complex variables  $a_2, \ldots, a_n$ , which is defined and continuously differentiable with respect to the real variables  $x_j = \operatorname{Re} a_j$ ,  $y_j = \operatorname{Im} a_j$ ,  $j = 2, \ldots, n$ , in some neighborhood N of  $S_n^*$ , such that  $|\operatorname{grad} F|$  is positive there. Then the function F attains its maximum on  $S_n^*$  only on the boundary. Hence each function f which maximizes F (considered as a functional on  $S^*$ ) on  $S^*$  is necessarily of type (1.1). This result was proved by J. A. Hummel by variational methods of Schiffer's type within the class  $S^*$  (cf. [4]).

Furthermore, let F attain its maximum at a point  $(a_2^0, \ldots, a_n^0)$  of the boundary  $\partial S_n^*$ . Then, from

it follows that

$$\mathrm{Re}\left\{\sum\limits_{2}^{n} \; rac{\partial F}{\partial a_{j}} \; (a_{2}^{0} \; , \, ... \; , \; a_{n}^{0}) \; arDelta a_{j}
ight\} \; + \; o\left(\max_{j} \; \left\{ \; \left|arDelta a_{j}
ight| \; 
ight\}
ight) \; \leq \; 0$$

and this shows that  $(\partial F/\partial a_2, \ldots, \partial F/\partial a_n)$  is an outer normal vector to  $S_n^*$  at  $(a_2^0, \ldots, a_n^0)$ . (Cf. also Paragraph 2.1.)

1.4. If  $\zeta = (c_1, \ldots, c_n)$  is an interior point of  $C_n$ , then  $\gamma_n^{-1}(\zeta)$  is an infinite set in C. It is possible, however, to define in a natural way a subset of C which is homeomorphic to  $C_n$  under the mapping  $\gamma_n$ . Assume first that  $\zeta \neq (0, \ldots, 0)$ . Since  $C_n$  is a convex body containing the origin, there is a unique number t > 1 such that  $t \zeta$  is on the boundary of  $C_n$ . By Theorem A there is a unique set of numbers  $\varkappa_j$  and  $\mu_j$ ,  $j = 1, \ldots, m$ ,  $1 \leq m \leq n$ , such that

$$g(z) = \sum_{j=1}^{m} \frac{1+\varkappa_j z}{1-\varkappa_j z} \mu_j$$

corresponds to  $t \zeta$ , i.e.  $\gamma_n(g) = t \zeta$ . The function  $g^* = g / t + 1 - 1 / t$ , which can be written in the form

$$g^*(z) = 1 + 2\sum_{j=1}^m \frac{\varkappa_j z}{1 - \varkappa_j z} \mu_j^*, \qquad \sum_{j=1}^m \mu_j^* = \frac{1}{t},$$

is in C and  $\gamma_n(g^*) = \zeta$ . If  $\zeta = (0, ..., 0)$  we choose 1/t = 0, i.e.  $\mu$  vanishes and  $g^*$  is just the constant 1, which case may be characterized also by setting m = 0. Thus we proved

The orem A'. To each point  $\zeta = (c_1, \ldots, c_n)$  of  $C_n$  there corresponds a unique set of distinct points  $\varkappa_1, \ldots, \varkappa_m$  on the unit circumference and a set of positive numbers  $\mu_1, \ldots, \mu_m$ , where  $\sum_{j=1}^m \leq 1$  and  $0 \leq m \leq n$ , such that

$$g(z \; ; \; \zeta) \; = \; 1 \; + \; 2 \sum_{j=1}^{m} \; rac{\varkappa_{j} \, z}{1 \; - \varkappa_{j} \, z} \; \mu_{j}$$

is in C and  $\gamma_n(g(.; \zeta)) = \zeta$ . The set of the numbers  $\varkappa_j$  and  $\mu_j$  might be empty in which case we set m = 0.  $\zeta$  is on the boundary of  $C_n$  if and only if  $\sum_{1}^{m} \mu_j = 1$ . The correspondence  $\zeta \mapsto g(.; \zeta)$  defines a homeomorphic mapping of  $C_n$  onto the subset  $\{g(.; \zeta)\}$  of C. As before, we obtain from this Theorem A', together with (1.3) and (1.2), T heorem 1'. Let M denote the set of measures defined by m distinct points  $\varkappa_1, \ldots, \varkappa_m$  on the unit circumference with assigned positive numbers  $\mu_1, \ldots, \mu_m$  such that  $\sum_j^m \mu_j \leq 1$  and  $0 \leq m < n$ . Then by (1.1) there corresponds to M a subset of functions in S\* which is homeomorphic to  $S_n^*$ .

### 2. Coefficient inequalities

**2.1.** Here we derive coefficient inequalities relative to a given boundary point  $a^0 = (a_2^0, \ldots, a_n^0)$  of  $S_n^*$ . By (1.3) there corresponds to  $a^0$  a point  $\zeta^0 = (c_1^0, \ldots, c_{n-1}^0)$  on the boundary of  $C_{n-1}$ . Choose a supporting hyperplane at  $\zeta^0$ , with normal direction  $\alpha = (\alpha_1, \ldots, \alpha_{n-1})$ . Then, for any point  $\zeta = (c_1, \ldots, c_{n-1})$  of  $C_{n-1}$  we have the inequality

(2.1) 
$$\operatorname{Re} \langle \zeta - \zeta^0, \alpha \rangle \leq 0.$$

Since by (1.3)  $c_j - c_j^0$  depends on the coefficients  $a_2, a_2^0, \ldots, a_{j+1}, a_{j+1}^0$ only, (2.1) represents an inequality involving the boundary point  $a^0$  and an arbitrary point a of  $S_n^*$ . We transform now (2.1) considering that  $\zeta - \zeta^0$  could be small. Let g and  $g_0$  be functions in C such that  $\gamma_{n-1}(g) = \zeta$  and  $\gamma_{n-1}(g_0) = \zeta^0$ :

$$g(z) \;=\; \sum_{0}^{\infty} \; 2 \; c_{j} \; z^{j} \;, \qquad g_{0}(z) \;=\; \sum_{0}^{\infty} \; 2 \; c_{j}^{0} \; z^{j} \;, \qquad 2 \; c_{0} \;=\; 1 \;.$$

Define

(2.2) 
$$\Phi(z) = \int_{0}^{z} (g(t) - g_{0}(t)) \frac{dt}{t} = \varphi_{1} z + \dots + \varphi_{n} z^{n} + \dots$$

where  $2(c_j - c_j^0) = j \varphi_j$ , j = 1, 2, ... Let the functions f and  $f_0$  in  $S^*$  correspond to g and  $g_0$ . Equation (1.2) implies then

(2.3) 
$$f(z) = z \exp \int_{0}^{z} (g(t) - 1) \frac{dt}{t} = f_{0}(z) \exp \Phi(z).$$

Write now

(2.4) 
$$\alpha(z) = \frac{\alpha_{n-1}}{z^{n-1}} + \ldots + \frac{\alpha_1}{z},$$

(2.5) 
$$k(z) = -\frac{\alpha'(z)}{f_0(z)} = \frac{k_n}{z^{n+1}} + \dots + \frac{k_2}{z^3} + \dots$$

and correspondingly  $\overline{\alpha}(z) = \overline{\alpha(\overline{z})}$ ,  $\overline{k}(z) = \overline{k(\overline{z})}$ . It follows then

(2.6) 
$$2 < \zeta - \zeta^0, \alpha > = \frac{1}{2\pi i} \oint \overline{\alpha}(z) \left(g(z) - g_0(z)\right) \frac{dz}{z}$$

$$= -\frac{1}{2\pi i} \oint \overline{\alpha}'(z) \Phi(z) dz = \frac{1}{2\pi i} \oint \overline{k}(z) f_0(z) \Phi(z) dz$$

and by (2.1)

(2.7) 
$$\operatorname{Re} \frac{1}{2\pi i} \oint \overline{k}(z) f_0(z) \Phi(z) dz \leq 0,$$

where the integration is taken along a positively oriented circuit around the origin.

**2.2.** Choose a variation of  $f_0$  in  $S^*$ , i.e. a mapping  $\varepsilon \mapsto f_{\varepsilon}$  of some interval  $(0, \varepsilon_0)$  into  $S^*$  such that

(2.8) 
$$f_{\varepsilon} = f_0 + \varepsilon f_1 + o(\varepsilon) ,$$

where  $f_1$  is holomorphic in D with  $f_1(z) = O(z^2)$ , and  $o(\varepsilon) / \varepsilon$  converges to zero uniformly on compact subsets of D. If

$$f_{\varepsilon}(z) = \sum_{j=1}^{\infty} a_j(\varepsilon) z^j$$
 and  $f_1(z) = \sum_{j=2}^{\infty} a'_j z^j$ ,

then  $a' = (a'_2, \ldots, a'_n)$  is a tangent vector to the curve  $\varepsilon \mapsto a(\varepsilon) = (a_2(\varepsilon), \ldots, a_n(\varepsilon))$ ,  $0 \leq \varepsilon \leq \varepsilon_0$  at  $a(0) : a(\varepsilon) = a(0) + \varepsilon a' + o(\varepsilon)$  for  $\varepsilon \to 0$ . Corresponding to (2.8) we have

(2.9) 
$$g_{\varepsilon} = g_0 + \varepsilon g_1 + o(\varepsilon) \text{ and } \Phi_{\varepsilon} = \varepsilon \Phi_1 + o(\varepsilon),$$

where  $\Phi_1(z) = \int_0^z g_1(\zeta) d\zeta / \zeta$ . From (2.2) and (2.3) it then follows that

$$(2.10) f_1 = f_0 \Phi_1$$

With  $g_{\varepsilon}(z) = \sum_{j=0}^{\infty} c_j(\varepsilon) z^j$  and  $g_1(z) = \sum_{j=1}^{\infty} c'_j z^j$  we get  $\zeta(\varepsilon) = \zeta(0) + \varepsilon \zeta' + o(\varepsilon)$  for  $\varepsilon \to 0$ , where  $\zeta(\varepsilon) = (c_1(\varepsilon), \dots, c_{n-1}(\varepsilon))$  and  $\zeta' = (c'_1, \dots, c'_{n-1})$ . If  $\alpha = (\alpha_1, \dots, \alpha_{n-1})$  is an outer normal vector to  $C_{n-1}$  at the boundary point  $\zeta(0)$ , it follows from (2.1), (2.6), (2.9) and (2.2) that

$$\operatorname{Re} \frac{1}{2\pi i} \oint \overline{k}(z) f_1(z) dz = \operatorname{Re} \{ \overline{k_2} a'_2 + \dots + \overline{k_n} a'_n \} \leq 0$$

for all tangent vectors a', i.e.  $(k_2, \ldots, k_n)$  is an outer normal vector to  $S_n^*$  at  $a^0$ .

Conversely, let k be an outer normal to  $S_n^*$  at a(0). For any  $g \in C$  define  $g_{\varepsilon} = g_0 + \varepsilon (g - g_0)$ ,  $0 \leq \varepsilon \leq 1$ . This is a variation of  $g_0$  in C. It infers a variation  $f_{\varepsilon} = f_0 + \varepsilon f_1 + o(\varepsilon)$  of  $f_0$  in  $S^*$ , and a curve  $\varepsilon \mapsto a(\varepsilon) = a(0) + \varepsilon a' + o(\varepsilon)$  in  $S_n^*$ . Let now the vector  $\alpha = (\alpha_1, \ldots, \alpha_{n-1})$  be given by (2.5) and (2.4). From (2.6) and (2.10) it follows that  $\operatorname{Re} \sum_{j=1}^{n-1} \overline{\alpha_j} (c_j - c_j^0) \leq 0$  for all points  $\zeta = (c_1, \ldots, c_{n-1})$  of  $C_{n-1}$  and this shows that  $\alpha = (\alpha_1, \ldots, \alpha_{n-1})$  is an outer normal vector to  $C_{n-1}$ . Thus, by (2.5), we proved

Proposition 2.2. There is a one to one correspondence between the outer normal vectors to  $C_{n-1}$  and  $S_n^*$  at associated boundary points, which is given by the equations

$$\alpha_1 = k_n a_{n-1} + k_{n-1} a_{n-2} + \dots + k_3 a_2 + k_2$$
  

$$2 \alpha_2 = k_n a_{n-2} + k_{n-1} a_{n-3} + \dots + k_3$$

(2.11)

$$(n - 2) \alpha_{n-2} = k_n \alpha_2 + k_{n-1}$$
$$(n - 1) \alpha_{n-1} = k_n .$$

**2.3.** The preceding considerations suggest to develop (2.3) into powers of  $\Phi$  and to write (2.7) in the form

(2.12) Re 
$$\left\{ \frac{1}{2\pi i} \oint \bar{k}(z) \left( f(z) - f_0(z) - \frac{1}{2!} f_0(z) \Phi^2(z) - ... \right) dz \right\} \leq 0$$
.

. . .

Only the powers  $\Phi^2, \ldots, \Phi^{n-1}$  are relevant for the evaluation of the integral, since  $\Phi$  has a zero at the origin. However, the higher is the order of this zero the less powers of  $\Phi$  are needed. Observe that by (2.2) we have

(2.13) 
$$\Phi(z) = \varphi_{\varrho} z^{\varrho} + \varphi_{\varrho+1} z^{\varrho+1} + \dots, \quad 1 \leq \varrho < n ,$$

or  $c_j = c_j^0$  for  $j = 1, ..., \varrho - 1$  if and only if  $a_j = a_j^0$ ,  $j = 2, ..., \varrho$ , and that in case of (2.13) we have

$$(2.14) \quad \overline{k}(z) f_0(z) \Phi^2(z) = \left(\frac{\overline{k}_n}{z^{n+1}} + \dots\right) (z + a_2^0 z^2 + \dots) (\varphi_{\varrho}^2 z^{2\varrho} + \dots) \\ = \overline{k}_n \varphi_{\varrho}^2 z^{2\varrho-n} + \dots .$$

We consider two cases.

1°  $2 \rho \ge n$ . In this case inequality (2.12) reduces to

(2.15) 
$$\operatorname{Re}\left\{\sum_{j=\varrho+1}^{n}\overline{k}_{j}\left(a_{j}-a_{j}^{0}\right)\right\} \leq 0.$$

Equality occurs if and only if it holds in (2.7) also.  $k = (k_2, \ldots, k_n)$  is an outer normal vector to  $S_n^*$  at the point  $a^0 = (a_2^0, \ldots, a_n^0)$ . To  $a^0$  and k there corresponds the outer normal vector  $\alpha = (\alpha_1, \ldots, \alpha_{n-1})$  to  $C_{n-1}$  at  $\zeta^0 = (c_1^0, \ldots, c_{n-1}^0)$ . From (2.6) it follows that equality holds in (2.7) if and only if

$${
m Re} < \zeta \ - \ \zeta^0 \ , \ lpha > \ = \ {
m Re} \ \sum_{j=1}^{n-1} (c_j \ - \ c_j^0) \ \overline{lpha}_j \ = \ 0 \ ,$$

i.e. the point  $\zeta = (c_1, \ldots, c_{n-1})$  lies on the supporting hyperplane through  $\zeta^0$  with normal direction  $\alpha$ . Since, by assumption,  $c_j = c_j^0$  for  $j = 1, \ldots, \varrho - 1$  and  $2\varrho \ge n$  it follows from Lemma 3.5 (in Paragraph 3.5, with  $\varrho - 1$  and n-1 instead of  $\varrho$  and n respectively) that this occurs only if  $\zeta = \zeta^0$ , hence only if  $a = a^0$  or  $f = f_0$ .

 $2^{\circ} n = 2 \varrho + 1$ . In this case the residue of  $k(z) f_0(z) \Phi^2(z)$  at the origin is  $\overline{k}_n \varphi_{\varrho}^2$  and from (2.3) it follows  $\varphi_{\varrho} = a_{\varrho+1} - a_{\varrho+1}^0$ . Hence (2.12) implies

$$\operatorname{Re}\left\{\sum_{j=\varrho+1}^{n} \overline{k}_{j} \left(a_{j} - a_{j}^{0}\right) - \frac{\overline{k}_{n}}{2} \left(a_{\varrho+1} - a_{\varrho+1}^{0}\right)^{2}\right\} \leq 0.$$

If  $a_{\varrho+1} = a_{\varrho+1}^0$  equality occurs only if  $a = a^0$ , because then we are in the preceding case, i.e.  $n < 2 (\varrho + 1)$ . Thus we proved

Theorem 2. Let  $a^0 = (a_2^0, \ldots, a_n^0)$  be a boundary point of the coefficient body  $S_n^*$ , let  $k = (k_2, \ldots, k_n)$  be an outer normal vector to  $S_n^*$  at  $a^0$  and let the integer  $\varrho$  satisfy the condition  $\varrho < n \leq 2 \varrho + 1$ . If  $\varepsilon = 0$  for  $n \leq 2 \varrho$  and  $\varepsilon = 1$  for  $n = 2 \varrho + 1$ , then the inequality

(2.16) 
$$\operatorname{Re}\left\{\sum_{j=\varrho+1}^{n} \overline{k}_{j} \left(a_{j} - a_{j}^{0}\right) - \varepsilon \frac{k_{n}}{2} \left(a_{\varrho+1} - a_{\varrho+1}^{0}\right)^{2}\right\} \leq 0$$

holds for all points a of  $S_n^*$  such that  $a_j = a_j^0$ ,  $j = 2, ..., \varrho$ . In the case that  $n \leq 2 \varrho$  or that  $n = 2 \varrho + 1$  and  $a_{\varrho+1} = a_{\varrho+1}^0$  equality occurs in (2.16) if and only if  $f = f_0$ .

R e m a r k. Theorem 2 gives a coefficient inequality which is quite similar to the one J. A. Jenkins has given in his general coefficient Theorem [5] for the particular case of the normalized schlicht functions in the unit disc.

**2.4.** In the case  $n \leq 2 \varrho$  Theorem 2 has an interesting corollary. Choose in  $S_{\varrho}^*$  a fixed point  $(a_2^0, \ldots, a_{\varrho}^0)$ ,  $1 < \varrho < n$ . Denote by  $W_n(a_2^0, \ldots, a_{\varrho}^0)$  the set of all points  $(a_{\varrho+1}, \ldots, a_n)$  in  $C^{n-\varrho}$  such that  $(a_2^0, \ldots, a_{\varrho}^0, a_{\varrho+1}, \ldots, a_n)$  is in  $S_n^*$ . Let  $(a_{\varrho+1}^0, \ldots, a_n^0) = A^0$  be on the boundary of  $W_n$ . Then  $(a_2^0, \ldots, a_{\varrho}^0, a_{\varrho+1}^0, \ldots, a_n^0) = a^0$  is a boundary point of  $S_n^*$ . Choose there an outer normal vector  $k = (k_2, \ldots, k_n)$ . By Theorem 2 we have (2.15) for all points  $(a_{\varrho+1}, \ldots, a_n)$  in  $W_n(a_2^0, \ldots, a_{\varrho}^0)$ . This shows that at each boundary point  $W_n$  has a supporting hyperplane, hence  $W_n$  is convex. It is even strictly convex, i.e. each supporting hyperplane to  $W_n$  contains only one point of  $W_n$  because equality occurs in (2.15) only if  $a_i = a_i^0$ ,  $j = \varrho + 1, ..., n$ .

Now we show that  $W_n(a_2, \ldots, a_{\varrho})$  is no more convex for arbitrary points  $(a_2, \ldots, a_{\varrho})$  in  $S_{\varrho}^*$ , if  $n > 2 \varrho$ . More precisely, we show that  $W_{2\varrho+1}(0, \ldots, 0)$  is not convex. For this purpose we consider the two functions

$$f_1(z) = (k(z^{\varrho}))^{1/\varrho} = z + \frac{2}{\varrho} z^{\varrho+1} + \frac{\varrho+2}{\varrho^2} z^{2\varrho+1} + \dots \text{ and}$$
  
$$f_2(z) = \varepsilon^{-1} f_1(\varepsilon z) = z - \frac{2}{\varrho} z^{\varrho+1} + \frac{\varrho+2}{\varrho^2} z^{2\varrho+1} + \dots$$

in  $S^*$ , where  $\varepsilon^{\varrho} = -1$  and k is the Koebe function  $k(z) = z / (1 - z)^2$ . They show that  $W_{2\varrho+1}(0, ..., 0)$  contains the points

$$(2 | \varrho, 0, ..., 0, (\varrho + 2) | \varrho^2)$$
 and  $(-2 | \varrho, 0, ..., 0, (\varrho + 2) | \varrho^2)$ .

But the midpoint  $(0, \ldots, 0, (\varrho + 2) / \varrho^2)$  of them does not belong to  $W_{2\varrho+1}(0, \ldots, 0)$ , because for any schlicht function, hence for any starlike function  $f(z) = z + a_{2\varrho+1} z^{2\varrho+1} + \ldots$  we have  $|a_{2\varrho+1}| \leq 1 / \varrho$  by a result of Prawitz ([8]) and because  $1 / \varrho < (\varrho + 2) / \varrho^2$ . Thus we proved

Theorem 3. Associate to a point  $(a_2^0, \ldots, a_{\varrho}^0)$  in  $S_{\varrho}^*$  and an integer  $n > \varrho$  the set  $W_n(a_2^0, \ldots, a_{\varrho}^0)$  of those points  $(a_{\varrho+1}, \ldots, a_n)$  in  $C^{n-\varrho}$  for which  $(a_2^0, \ldots, a_{\varrho}^0, a_{\varrho+1}, \ldots, a_n)$  is in  $S_n^*$ . Then  $W_n(a_2^0, \ldots, a_{\varrho}^0)$  is a strictly convex body if  $n \leq 2 \varrho$ . However,  $W_{2\varrho+1}(0, \ldots, 0)$  is no longer convex.

A similar theorem holds for the coefficient bodies of the class S (cf. [7]).

Let consider the particular case that  $\varrho = n-1$ . If  $(a_2^0, \ldots, a_{n-1}^0)$  is on the boundary of  $S_{n-1}^*$ , then obviously  $W_n(a_2^0, \ldots, a_{n-1}^0)$ , the range of  $a_n$ , is a point. Thus we may assume that  $(a_2^0, \ldots, a_{n-1}^0)$  is in the interior of  $S_{n-1}^*$ . The corresponding point  $(c_1^0, \ldots, c_{n-2}^0)$  is in the interior of  $C_{n-2}$ . As was remarked by Carathéodory ([1]), the range of  $C_n$  is a disc. Hence, by (1.3), it follows: For a given point  $(a_2^0, \ldots, a_{n-1}^0)$  in  $S_{n-1}^*$  the range of  $a_n$  is either a disc or a point. Based on a different method this result was given by J. A. Hummel in [3].

#### 3. Proof of Theorem A

**3.1.**  $C_n$  is a compact and convex set in  $C^n$ , since C is convex and compact (in the topology of uniform convergence on compact subsets of D), and  $\gamma_n$  is continuous and linear.

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**3.2.** Let  $\zeta$  be an interior point of  $C_n$ . There is a  $\lambda$ ,  $\lambda > 1$ , such that  $\lambda \zeta = (\lambda c_1, \ldots, \lambda c_n)$  is still in  $C_n$ . Choose in C a function g such that  $\gamma_n(g) = \lambda \zeta$ . Then  $g_1 = \lambda^{-1}g + (1 - 1 / \lambda)$  is in C and satisfies  $\operatorname{Re} g_1(z) > 1 - 1 / \lambda$ . Hence,  $g_1 + h$  is in C and  $\gamma_n(g_0 + h)$  equals to  $\zeta$  for each function  $h(z) = b_{n+1} z^{n+1} + \ldots$  which is holomorphic in D, such that  $\sup_{z \in D} |h(z)| \leq 1 - 1/\lambda$ . This proves that  $\gamma_n^{-1}(\zeta)$  is an infinite set in C.

**3.3.** Let P denote the set of probability measures supported by the unit circumference  $\{ |z| = 1 \}$ . According to a result of Herglotz g belongs to the class C if and only if

$$g(z) \;\; = \;\; \int\limits_{0}^{2\pi} rac{1 \;+\; e^{i heta} \, z}{1 \;-\; e^{i heta} \, z} \; d\mu_{ heta} \,, \qquad \mu \in P \;,$$

or equivalently if and only if the coefficients  $c_n$  of g (in (0, 4)) are the trigonometric moments of a probability measure, i.e.

(3.1) 
$$c_n = \int_{0}^{2\pi} e^{in\theta} d\mu_{\theta}, \quad \mu \in P, \quad n = 0, 1, 2, \dots$$

In the sequel we represent points in  $\mathbb{R}^{2n}$  in the form  $\zeta = (\zeta_1, ..., \zeta_n)$ ,  $\zeta_j \in \mathbb{C}$ , as points in  $\mathbb{C}^n$ , and consequently, we write the standard scalarproduct in  $\mathbb{R}^{2n}$  as Re  $<\zeta$ ,  $\zeta^1 > = \operatorname{Re} \sum_{j=1}^n \overline{\zeta_j} \zeta'_j$ .

Hence, the norm of  $\zeta$  is given by  $|\zeta| = (\langle \zeta, \zeta \rangle)^{1/2}$ . Defining

(3.2) 
$$\zeta(\mu) = \int_{0}^{2\pi} (e^{i\theta}, \dots, e^{in\theta}) d\mu_{\theta}$$

for any real measure (supported by the unit circumference) we have

$$C_n = \{ \zeta(\mu) \mid \mu \in P \},\$$

i.e.  $C_n$  is the convex hull of the curve

$$arGamma$$
:  $heta\mapsto (e^{\,i heta}\ ,\ ...\ ,\ e^{in heta})\ ,\qquad 0\ \leq \ heta\ \leq 2\pi$  .

Let  $\alpha$  be a unit vector:

$$\alpha = (\alpha_1, \ldots, \alpha_n), \qquad |\alpha| = 1.$$

Define

(3.3) 
$$h(\alpha) = \max_{\theta} \operatorname{Re} \left\{ \overline{\alpha}_{1} e^{i\theta} + \dots + \overline{\alpha}_{n} e^{in\theta} \right\}$$

and

(3.4) 
$$T(e^{i\theta}, \alpha) = h(\alpha) - \operatorname{Re}\left\{\sum_{j=1}^{n} \overline{\alpha}_{j} e^{ij\theta}\right\}.$$

Obviously  $T(e^{i\theta}, \alpha) \ge 0$  for all  $\theta$  and  $\alpha$ . Hence, by a lemma of Fejer and Riesz, there is a polynomial  $p(z) = \xi_0 + \xi_1 z + \ldots + \xi_n z^n$  such that

(3.5) 
$$T(e^{i\theta}, \alpha) = |p(e^{i\theta})|^2$$
.

Let now  $\zeta_0 = \zeta(\mu_0)$ ,  $\mu_0 \in P$ , be a point on the boundary of  $C_n$ . Since  $C_n$  is convex there is a supporting hyperplane

$$\operatorname{Re} < \zeta - \zeta_0 \,, \, \alpha > \; = \; 0 \,, \quad |\alpha| = 1 \,, \, \text{i.e.} \quad \max_{\zeta \in C_n} \operatorname{Re} < \zeta \,, \, \alpha > \; = \; \operatorname{Re} < \zeta_0 \,, \, \alpha > \,.$$

Since  $C_n$  is the convex hull of  $\Gamma$  we have also

$$\max_{\theta}\,\operatorname{Re}\sum_{j=1}^{n}\overline{\alpha}_{j}\,e^{ij\theta}\ =\ \operatorname{Re}\,\!<\!\zeta_{0}\,,\,\alpha\!>$$

or by (3.3)  $h(\alpha) - \text{Re} < \zeta_0$ ,  $\alpha > = 0$ . With the notations (3.4) and (3.5) and with (3.1) it follows

$$\int_{0}^{2\pi} T(e^{i\theta}, \alpha) \, d\mu_0 = \int_{0}^{2\pi} |p(e^{i\theta})|^2 \, d\mu_0 = 0$$

and this shows that a measure  $\mu_0$ ,  $\mu_0 \in P$ , such that  $\zeta(\mu_0)$  is on the boundary of  $C_n$ , is a measure supported by at most n points on the unit circumference.

Conversely, for an integer m,  $1 \leq m \leq n$ , choose m distinct points  $\varkappa_j$  on the unit circumference and positives numbers  $\mu_j$ , j = 1, ..., m such that  $\sum \mu_j = 1$ . Let the pairs  $\{(\varkappa_j, \mu_j)\}$  define the measure  $\mu_0$ . Then  $\zeta(\mu_0)$  is on the boundary of  $C_n$ . In fact, setting  $p(z) = \prod_1^m (z - \varkappa_j)$  we have

(3.6) 
$$\int_{0}^{2\pi} |p(e^{i\theta})|^2 d\mu_0 = \sum_{1}^{m} p(\varkappa_j) \mu_j = 0.$$

But

$$|p(e^{i heta})|^2 = lpha_0 - \operatorname{Re}\sum_{j=1}^n \overline{lpha}_j e^{ij heta}$$

for suitably chosen numbers  $\alpha_j$ . As a positive factor is not relevant we can assume that  $\alpha = (\alpha_1, \ldots, \alpha_n)$  is a unit vector and this implies  $\alpha_0 = h(\alpha)$ . Equivalently to (3.6) we have then

$$\int_{0}^{2\pi} T\left(e^{i\theta}, \alpha\right) d\mu_{0} = 0, \quad \text{and} \quad \int_{0}^{2\pi} T(e^{i\theta}, \alpha) d\mu \geq 0 \quad \text{ for all } \mu \in P,$$

i.e.

$$\max_{\zeta \in C_n} \operatorname{Re} < \zeta \text{ , } \alpha > \ = \ \operatorname{Re} < \zeta(\mu_0) \text{ , } \alpha > \text{ .}$$

This shows that  $\zeta(\mu_0)$  is on the boundary of  $C_n$  ending the proof that  $\zeta = \gamma_n(g)$  belongs to the boundary of  $C_n$  if and only if g is given by (1.4).

**3.4.** It will be shown now that for a point  $\zeta^0 = (c_1, \ldots, c_n)$  on the boundary of  $C_n$  there is only one measure  $\mu$  in P such that  $\zeta(\mu) = \zeta^0$ , and then this implies that there is a unique g in C satisfying  $\gamma_n(g) = \zeta_0$ .

Let  $\mu$  be a measure in P such that  $\zeta(\mu) = \zeta^0$ .  $\mu$  is carried by some points  $\varkappa_1, \ldots, \varkappa_m$ ,  $1 \leq m \leq n$ ; hence,

$$\zeta^0 = \mu_1 \zeta^1 + \ldots + \mu_m \zeta^m, \quad \mu_j > 0, \quad \sum_{1}^m \mu_j = 1,$$

where

(3.7) 
$$\zeta^{j} = (\varkappa_{j}, \varkappa_{j}^{2}, ..., \varkappa_{j}^{n}), \quad j = 1, ..., m.$$

These vectors  $\zeta^j$  are linearly independent (because the points  $\varkappa_j$  are distinct). Their convex hull coh {  $\zeta^1$ , ...,  $\zeta^m$  } lies on the m-1 dimensional hyperplane

(3.8) 
$$\zeta = \sum_{j=1}^m \lambda_j \zeta^j, \qquad \sum_{j=1}^m \lambda_j = 1,$$

and contains  $\zeta^0$  in its interior, since all the weights  $\mu_i$  are positive.

Let now  $\mu'$  be another measure in P such that  $\zeta(\mu') = \zeta(\mu) = \zeta^0$ .  $\mu'$  is carried by some points  $\varkappa_{1i}$ ,  $j = 1, ..., m_1$ ,  $1 \leq m_1 \leq n$  such that

$$\zeta^0 \;=\; \sum_{j=1}^{m_1} \zeta_1^j \,\mu_j^{'} \,, \qquad \mu_j^{'} > 0 \;, \qquad \sum_{1}^{m_1} \mu_j^{'} \;=\; 1 \;,$$

where

$$\zeta_1^j \;=\; (arkappa_{1j}\,,\,arkappa_{1j}^2\,,\,\ldots\,,\,arkappa_{1j}^n)\,,\qquad j \;=\; 1,\,...,\,m_1\;.$$

Since  $\zeta^0$  is in the interior of either convex hull, say of coh {  $\zeta', ..., \zeta^m$  } and of coh {  $\zeta_1^1, ..., \zeta_1^{m_1}$  }, these convex hulls lie on the same hyperplane of dimension  $m-1 = m_1-1$ . Choose a  $\varkappa_{1\varrho}$ ,  $\varrho = 1, ..., m_1$ , and write  $\varkappa_{1\varrho} = \varkappa$ . From  $\zeta_1^e = \sum_{j=1}^m \lambda_j \zeta^j$ ,  $\sum_1^m \lambda_j = 1$ , according to (3.8) it follows that the vectors  $\zeta_1^e - \zeta^1$ ,  $\zeta^2 - \zeta^1$ , ...,  $\zeta^m - \zeta^1$  are linearly dependent, hence

$$\begin{array}{c|c} \varkappa - \varkappa_{1} \,,\, \varkappa_{2} - \varkappa_{1} \,, \ldots \,,\, \varkappa_{m} - \varkappa_{1} \\ \varkappa^{2} - \varkappa_{1}^{2} \,,\, \varkappa_{2}^{2} - \varkappa_{1}^{2} \,, \ldots \,,\, \varkappa_{m}^{2} - \varkappa_{1}^{2} \\ & & \\ & \ddots & \\ \varkappa^{m} - \varkappa_{1}^{m} \,,\, \varkappa_{2}^{m} - \varkappa_{1}^{m} \,, \ldots \,,\, \varkappa_{m}^{m} - \varkappa_{1}^{m} \end{array} \right| = 0 \,.$$

Consider this determinant as a polynomial in  $\varkappa$ . It is of degree m and does not vanish identically; its roots are  $\varkappa_1, \ldots, \varkappa_m$ ; hence,  $\varkappa_{1\varrho} = \varkappa$  equals to one of these roots and this implies that the two sets  $\{\varkappa_1, \ldots, \varkappa_m\}$  and  $\{\varkappa_{11}, \ldots, \varkappa_{1m_1}\}$  are identical, i.e. the two measures  $\mu$  and  $\mu'$  have the same support  $\{\varkappa_1, \ldots, \varkappa_m\}$ . Their values  $\mu_j$  carried by the points  $\varkappa_j$  have to satisfy the linear system

$$\sum_{j=1}^m arkappa_j^{\lambda} \, \mu_j \; = \; c_{\lambda} \, , \qquad \lambda = \, 1, \, ..., \, n \; .$$

Since the matrix  $(\varkappa_{j}^{\lambda})_{j=1,...,m}^{\lambda=1,...,m}$  has rank m, the point  $\zeta^{0} = (c_{1}, ..., c_{n})$ uniquely determines the weights  $\mu_{j}$  and this implies  $\mu^{1} = \mu$ . We conclude that for a boundary point  $\zeta^{0}$  of  $C_{n}$  there is a unique function g in Csuch that  $\gamma_{n}(g) = \zeta^{0}$ , and this completes the proof of Theorem A.

**3.5.** The lemma we used in Paragraph 2.3 easily follows by a similar argument as used just ahead. Let a supporting hyperplane to  $C_n$ , with normal direction  $\alpha$ , be given. If the polynomial  $h(\alpha) - \operatorname{Re} \sum_{j=1}^{n} \overline{\alpha}_j z^j$  has the zeros  $\varkappa_1, \ldots, \varkappa_m$  on the unit circumference, then the intersection of  $C_n$  with the given supporting hyperplane is the convex hull of the points  $\zeta^j$ ,  $j = 1, \ldots, m$ , where the  $\zeta^j$  are given by (3.7). Furthermore, let the coefficients  $c_1, \ldots, c_{\varrho}$  be given. With  $c_{-k} = \overline{c}_k$ ,  $k = 1, \ldots, \varrho$  and  $c_0 = 1$  they have to satisfy the equations

$$\sum_{j=1}^m \varkappa_j^k \, \mu_j \; = \; c_k \; , \qquad k \, = \, 0 \; , \; \pm 1 \, , \, \ldots \; , \; \pm \varrho \; ,$$

because the  $\mu_j$  are real. The matrix  $(\varkappa_j^{k,j=1,\ldots,m}_{k=-\varrho,\ldots,\varrho}$  has rank m if  $2\varrho + 1 \ge m$ . This shows that the coefficients  $c_1, \ldots, c_{\varrho}$  uniquely determine the point  $(c_1, \ldots, c_n)$  on the supporting hyperplane with the given normal direction  $\alpha$ . Thus we proved

L e m m a 3.5. Let a given supporting hyperplane to  $C_n$  touch the curve

$$arGamma: heta\mapsto (e^{i heta}\,,\,e^{2i heta}\,,\,\dots\,,\,e^{in heta})\,, \qquad 0\,\leq\, heta\,\leq\, 2\pi\,,$$

in *m* distinct points,  $1 \leq m \leq n$ . A point  $(c_1, \ldots, c_n)$  of  $C_n$  on this hyperplane is then uniquely determined by its coordinates  $c_1, \ldots, c_{\varrho}$ , if  $2 \varrho + 1 \geq m$ .

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