PIECEWISE LINEAR APPROXIMATION OF LIPEOMORPHISMS

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1. Introduction

1.1. A map f of a metric space (X, d) into a metric space (Y, d') is called Lipschitz if there is a constant L such that $d'(f(x), f(y)) \leq Ld(x, y)$ for all x, y in X. The smallest $L \geq 0$ satisfying this inequality is denoted by lip f. If every point in X has a neighborhood in which f is Lipschitz, f is said to be locally Lipschitz, abbreviated LIP. A lipeomorphism is a bijective map f such that both f and f^{-1} are LIP. If f defines a lipeomorphism $f_1: X \rightarrow fX$, f is a LIP embedding. If both f and f_1^{-1} are Lipschitz, we set bilip $f = \max(\lim f, \lim f_1^{-1})$. A LIP map of a compact space is always Lipschitz. For the elementary theory of Lipschitz topology, we refer to [3].

1.2. Outline of results. Let I^n be the closed unit cube in \mathbb{R}^n , $n \leq 3$, and let $f: I^n \to \mathbb{R}^n$ be a LIP embedding. We shall prove that for every $\varepsilon > 0$ there is a LIP embedding $g: I^n \to \mathbb{R}^n$ such that $g|\partial I^n = f|\partial I^n$, $g|\text{int } I^n$ is PL, and $|g(x) - f(x)| < \varepsilon$ for all x. Moreover, bilip g is bounded by a constant depending only on bilip f, ε , and n. Actually, it does not depend on ε (see Remark 2.12), but ε does not play any role in the applications. The proof is based on the clever ideas of Carleson [1]. As applications, we shall prove the LIP annulus conjecture for $n \leq 3$ and the LIP hauptvermutung for n=2. D. Sullivan [7] has proved these results for more general n by different methods.

1.3. Acknowledgement. I have greatly profited from the detailed seminar lectures of J. Sarvas on Carleson's method.

2. Approximation

2.1. Notation. We let I^n denote the cube $[-1, 1]^n$. If a>0, the cube aI^n can also be written as $I^n(a)$. Let K^* be the family of $4^n - 2^n$ closed cubes of side length one, giving the natural subdivision of $2I^n \setminus \operatorname{int} I^n$. If $f: X \to \mathbb{R}^n$ is a map and if $A \subset X$, we write $||f||_A = \sup \{|f(x)|: x \in A\}$ and $||f|| = ||f||_X$.

2.2. Lemma. Let n=2 or 3 and let P be the union of a subfamily of K^* such that P and $I^n \cup P$ are n-manifolds and $I^n \cap P$ is an (n-1)-manifold. Let $\varepsilon > 0$

and let $g: 2I^n \to R^n$ be an embedding. Then there is $\delta > 0$ with the following property. If $g_1: P \to R^n$ is a PL embedding with $||g_1 - g||_P < \delta$, there is a PL embedding $g^*: I^n \cup \cup P \to R^n$ such that $||g^* - g|| < \varepsilon$ and $g^*|P = g_1$.

2.3. If n=3, the lemma follows from a more general and stronger result of Moise [4, p. 101]. The proof for n=2 is simpler.

The lemma is not true for $n \ge 5$, because it would yield the hauptvermutung for compact PL manifolds. Indeed, we could apply it to a fine handle decomposition of a PL manifold and obtain a PL approximation to every homeomorphism between PL manifolds. Thus the method of this paper cannot be directly extended to higher dimensions.

The rest of this section is devoted to the proof of the following result:

2.4. Theorem. Let $n \leq 3$, let $\varepsilon > 0$, and let $L \geq 1$. Then there is $L_1 \geq 1$ with the following property: If $f: I^n \rightarrow R^n$ is a LIP embedding with bilip $f \leq L$, there is a LIP embedding $f^*: I^n \rightarrow R^n$ such that

- (1) $f^*|\partial I^n = f|\partial I^n$,
- (2) f^* int I^n is PL,
- (3) $\operatorname{bilip} f^* \leq L_1$,
- $(4) ||f^*-f|| < \varepsilon.$

2.5. If n=1, Theorem 2.4 is almost trivial. For the rest of this section we fix $n \in \{2, 3\}$. Given ε and g as in 2.2, we let $\delta(\varepsilon, g)$ denote the greatest δ satisfying 2.2 for all P. Obviously $\delta(\varepsilon, g) \leq \varepsilon$. Let H_L be the space of all LIP embeddings $g: 2I^n \rightarrow R^n$ such that $|g(0)| \leq 1$ and bilip $g \leq L$. In the uniform topology, H_L is closed in the space of all continuous maps $2I^n \rightarrow R^n$. Since H_L is equicontinuous and uniformly bounded, it is compact by Ascoli's theorem. We set $\delta^*(\varepsilon) = = \inf \{\delta(\varepsilon, g) | g \in H_L\}$.

2.6. Lemma. $\delta^*(\varepsilon) > 0$ for every $\varepsilon > 0$.

Proof. If the lemma is false, there is a sequence of maps $g_j \in H_L$ such that $\delta(\varepsilon, g_j) \rightarrow 0$. By the compactness of H_L , we may assume that $g_j \rightarrow g \in H_L$. If $2 ||g_j - g|| < \delta(\varepsilon/2, g)$, then 2.2 holds with the substitution $g \mapsto g_j$, $\delta \mapsto \delta(\varepsilon/2, g)/2$. Hence $\delta(\varepsilon, g_j) \ge \delta(\varepsilon/2, g) > 0$ for large *j*, which is a contradiction. \Box

2.7. The family K. We divide int I^n into a countable family K of closed cubes as follows: First let $I^n(1/3) \in K$. Next divide $I^n(2/3) \setminus \operatorname{int} I^n(1/3)$ in the natural way into $4^n - 2^n$ cubes of side length 1/3. In the general step, we divide $I^n(1-3^{-1}2^{-k-1}) \setminus \operatorname{int} I^n(1-3^{-1}2^{-k})$ into cubes of side length $3^{-1}2^{-k-1}$.

Each $Q \in K$ can be uniquely written as $z_Q + \lambda_Q I^n$. Here z_Q is the center and $2\lambda_Q$ the side length of Q. Setting $\alpha_Q(x) = z_Q + \lambda_Q x$ we have $Q = \alpha_Q I^n$.

We express K as the union of a finite number of disjoint subfamilies K_1, \ldots, K_M such that setting $K_r^* = K_1 \cup \ldots \cup K_r$, $F_r = \bigcup \{Q | Q \in K_r^*\}$ and $P_Q = 2I^n \cap \alpha_Q^{-1}F_{r-1}$ for $Q \in K_r$, the following conditions are satisfied: (1) The members of K_r are pairwise disjoint.

(2) P_Q and $I^n \cup P_Q$ are *n*-manifolds, and $I^n \cap P_Q$ is an (n-1)-manifold.

(3) If $Q, R \in K_r$, then $P_Q = P_R$.

It is obvious that this can be done. In the figure we indicate this for n=2 with M=22.

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19	15	22	20	22	20	22	20	22	20	22	20	22	20	22	20	22	20	22	20	22	16

2.8. For the rest of the proof we fix $\varepsilon > 0$ and $L \ge 1$. Let M be the integer given by 2.7. Choose positive numbers $\delta_1 \le ... \le \delta_M$ such that $\delta_M \le \min(\varepsilon, 1/8L)$ and $5\delta_{r-1} < \delta^*(\delta_r)$. Observe that the numbers δ_r only depend on ε and L (and n).

Suppose now that $f: I^n \to R^n$ is a LIP embedding with bilip $f \leq L$. For every $Q \in K$ choose a point $b_Q \in \lambda_Q Z^n$ such that $|f(z_Q) - b_Q| < \lambda_Q$. Define $\beta_Q: R^n \to R^n$ by $\beta_Q(x) = (x - b_Q)/\lambda_Q$.

2.9. Lemma. There are finite families $A_r(L, \varepsilon)$, $1 \le r \le M$, of PL embeddings $I^n \to R^n$ such that if $f: I^n \to R^n$ is a LIP embedding with bilip $f \le L$, there are injective PL maps $\varphi_r: F_r \to R^n$ such that

- (i) $\beta_Q \varphi_r \alpha_Q | I^n \in A_r(L, \varepsilon)$ for every $Q \in K_r^*$,
- (ii) $||f-\varphi_r||_Q \leq 2\delta_r \lambda_Q$ for every $Q \in K_r^*$.

2.10. Proof of 2.4 modulo 2.9. Since $F_M = \operatorname{int} I^n$, we obtain a function $f^*: I^n \to R^n$ by setting $f^* = \varphi_M \cup (f|\partial I^n)$. If a > 0, the set $U(a) = (\partial I^n + aI^n) \cap \operatorname{int} I^n$ is contained in the union of those $Q \in K$ for which $\lambda_Q < a$. Hence (ii) implies $||f - f^*||_{U(a)} \leq \\ \leq 2\delta_M a$, which proves the continuity of f^* . Moreover, f^* is an embedding, $\operatorname{im} f^* = \\ = \operatorname{im} f$, and $f^*|\operatorname{int} I^n = \varphi_M$ is PL. Since $||f^* - f|| = \sup_Q ||f - \varphi_M||_Q \leq 2\delta_M \sup_Q \lambda_Q = \\ = 2\delta_M/3 < \varepsilon$, it remains to show that $\operatorname{bilip} f^*$ has an upper bound L_1 which only depends on L, ε , and n. Since $f^*|Q = \beta_Q^{-1}\psi\alpha_Q^{-1}$ for some $\psi \in A_M(L, \varepsilon)$ and since I^n is convex, $\operatorname{lip} f^* \leq \max$ { $\operatorname{bilip} \psi | \psi \in A_M(L, \varepsilon)$ } = L_0 [3, 2.35]. Since $f^*[\operatorname{int} I^n] = \\ = f[\operatorname{int} I^n]$ is L^2 -quasiconvex, $\operatorname{lip} (f^*)^{-1} \leq L^2 L_0$. Thus $\operatorname{bilip} f^* \leq L^2 L_0$. \Box

2.11. Proof of 2.9. We shall inductively construct the sets $A_r(L, \varepsilon)$ and the maps φ_r such that (i) and (ii) are satisfied. Since H_L is compact, there is a finite set $H(L, \varepsilon) \subset H_L$ such that H_L is covered by the open balls $\{h \in H_L : \|h - g\| < \delta_1\}$, $g \in H(L, \varepsilon)$. For every $h \in H(L, \varepsilon)$ we choose a PL embedding $g_h: I^n \to R^n$ such that $\|g_h - h\|_{I^n} < \delta_1$ [5, p. 239] and set $A_1(L, \varepsilon) = \{g_h | h \in H(L, \varepsilon)\}$. For every $Q \in K$, the map $f_Q = \beta_Q f \alpha_Q | 2I^n$ belongs to H_L , since $|f(0)| = |f(z_Q) - b_Q|/\lambda_Q < 1$. Choose maps $h_Q \in H(L, \varepsilon)$ such that $\|h_Q - f_Q\| < \delta_1$ and set $g_Q = g_{h_Q}$. Then $\|f_Q - g_Q\|_{I^n} < 2\delta_1$. Define $\varphi_1: F_1 \to R^n$ by $\varphi_1 | Q = \beta_Q^{-1} g_Q \alpha_Q^{-1}$ for $Q \in K_1$. Then φ_1 is PL, (i) is true, and (ii) follows from $\|f - \varphi_1\|_Q = \lambda_Q \|f_Q - g_Q\|_{I^n}$. To show that φ_1 is injective, suppose that Q and R are two cubes in K_1 . Assuming $\lambda_Q \ge \lambda_R$ we have $d(Q, R) \ge \lambda_Q$, which implies $d(fQ, fR) \ge \lambda_Q/L$. Since $\delta_1 \le \delta_M \le 1/8L$, (ii) implies $d(\varphi_1 Q, \varphi_1 R) \ge \lambda_Q/2L > 0$.

Suppose now that $A_{r-1}(L, \varepsilon)$ and $\varphi_{r-1}: F_{r-1} \to R^n$ have been constructed so that (i) and (ii) are satisfied. Let $Q \in K_r$. The polyhedron $P = P_Q$ is independent of Q by the condition (3) of 2.7. Set $\gamma_Q = \beta_Q \varphi_{r-1} \alpha_Q | P$. If $x \in P$, there is $R \in K_{r-1}^*$ such that $\alpha_Q(x) \in R$ and $Q \cap R \neq \emptyset$. Then $\gamma_Q(x) = \beta_Q \beta_R^{-1} \psi \alpha_R^{-1} \alpha_Q(x)$, where $\psi = \beta_R \varphi_{r-1} \alpha_R | I^n \in A_{r-1}(L, \varepsilon)$ and

$$\begin{aligned} \alpha_R^{-1} \alpha_Q(x) &= \lambda_Q x / \lambda_R + (z_Q - z_R) / \lambda_R, \\ \beta_Q \beta_R^{-1}(y) &= \lambda_R y / \lambda_Q + (b_R - b_Q) / \lambda_Q. \end{aligned}$$

Since $Q \cap R \neq \emptyset$, $\lambda_Q / \lambda_R \in \{1/2, 1, 2\}$ and $(z_Q - z_R) / \lambda_R$ also belongs to a finite set depending only on *n*. Hence the maps $\alpha_R^{-1} \alpha_Q$ belong to a finite set *A*. Furthermore, since $|b_R - b_Q| \leq |b_R - f(z_R)| + |f(z_R) - f(z_Q)| + |f(z_Q - b_Q| < (3 + 6L)\lambda_Q$, the maps $\beta_Q \beta_R^{-1}$ belong to a finite set B(L). Hence the maps γ_Q belong to a finite set $C_r(L, \varepsilon)$.

We want to apply Lemma 2.2 with the substitution $P \mapsto P$, $\varepsilon \mapsto \delta_r$, $g \mapsto h_Q | I^n \cup P$, $g_1 \mapsto \gamma_Q$. We must verify the condition $\|\gamma_Q - h_Q\|_P < \delta^*(\delta_r)$. Let $x \in P$ and choose R as above. Then $|\gamma_Q(x) - f_Q(x)| = |\varphi_{r-1}(\alpha_Q(x)) - f(\alpha_Q(x))| / \lambda_Q \leq 2\delta_{r-1}\lambda_R / \lambda_Q \leq 4\delta_{r-1}$, which implies $\|\gamma_Q - h_Q\|_P \leq 5\delta_{r-1} < \delta^*(\delta_r)$.

By 2.2, there is a PL embedding g_Q^* : $I^n \cup P \to R^n$ such that $g_Q^*|P = \gamma_Q$ and $\|h_Q - g_Q^*\|_{I^n \cup P} < \delta_r$. Since the pairs (h_Q, γ_Q) belong to the finite set $H(L, \varepsilon) \times C_r(L, \varepsilon)$, we can choose the maps g_Q^* so that they belong to a finite set $G_r(L, \varepsilon)$. Define φ_r : $F_r \to R^n$ as follows:

$$\varphi_r | F_{r-1} = \varphi_{r-1},$$

$$\varphi_r | Q = \beta_0^{-1} g_0^* \alpha_0^{-1} | Q \quad \text{for} \quad Q \in K_r.$$

It is clear that φ_r is a well-defined PL map. The condition (i) is satisfied with $A_r(L, \varepsilon) = = A_{r-1}(L, \varepsilon) \cup G_r(L, \varepsilon)$. If $Q \in K_r$, then $||f - \varphi_r||_Q = \lambda_Q ||f_Q - g_Q^*||_{I^n} < 2\lambda_Q \delta_r$, which is (ii). The restrictions of φ_r to F_{r-1} and $\alpha_Q[I^n \cup P]$, $Q \in K_r$, are injective. To show that φ_r is injective, it suffices to prove that $\Delta = |\varphi_r(x) - \varphi_r(y)| > 0$ whenever $x \in Q \in K_r$ and $y \in F_r \setminus \alpha_Q[I^n \cup P]$. Choosing $R \in K_r^*$ containing y we obtain $\Delta \ge |x - y|/L - 2\delta_r(\lambda_Q + \lambda_R)$ and $|x - y| \ge \lambda_Q$. If $\lambda_R \le 2\lambda_Q$, then $\Delta \ge \lambda_Q/4L$. If $\lambda_R > 2\lambda_Q$, then $Q \cap R = \emptyset$, whence $|x - y| \ge \lambda_R$ and $\Delta \ge 5\lambda_R/8L$. \Box

2.12. Remarks. 1. One can prove that the constant L_1 in Theorem 2.4 only depends on L and n, not on ε . This is done by replacing the cube family K by a suitable subdivision of small mesh. For example, we may bisect the sides of each cube in K and iterate this process. One must only verify that there are subfamilies K'_1, \ldots, K'_M of K' as in 2.7 such that M is independent of K'.

2. A direct application of Carleson's method also gives the following result: For every $n \le 3$ and $L \ge 1$ there is $L_1 \ge 1$ such that if $f: \mathbb{R}^n \to \mathbb{R}^n$ is a strong lipeomorphism with bilip $f \le L$ and if $\varepsilon > 0$, there is a PL homeomorphism $f^*: \mathbb{R}^n \to \mathbb{R}^n$ such that $||f^* - f|| < \varepsilon$ and bilip $f^* \le L_1$.

3. A quasiconformal version of 2.4 has been recently proved by M. Kiikka.

3. Applications

3.1. LIP stability. A lipeomorphism $f: \mathbb{R}^n \to \mathbb{R}^n$ is called LIP stable if it can be expressed as $f_1 \dots f_k$, where each $f_j: \mathbb{R}^n \to \mathbb{R}^n$ is a lipeomorphism which is the identity map on some non-empty open set. If f is LIP stable and if $g: \mathbb{R}^n \to \mathbb{R}^n$ is a lipeomorphism agreeing with f on some non-empty open set, then g is also LIP stable.

Suppose that $n \leq 3$ and $f: \mathbb{R}^n \to \mathbb{R}^n$ is an arbitrary lipeomorphism. It follows from 2.4 that there is a lipeomorphism $g: \mathbb{R}^n \to \mathbb{R}^n$ such that f=g in $\mathbb{R}^n \setminus I^n$ and $g|\text{int }I^n$ is PL. An elementary argument shows that an affine map with positive determinant is LIP stable. Hence we obtain:

3.2. Theorem. If $n \leq 3$, every sense-preserving lipeomorphism $f: \mathbb{R}^n \to \mathbb{R}^n$ is LIP stable.

3.3. The LIP annulus conjecture states that if S_1 and S_2 are disjoint locally LIP flat LIP (n-1)-spheres in S^n , [3, 3.8], then the closure of the domain bounded by $S_1 \cup S_2$ is lipeomorphic to $S^{n-1} \times I$. Or equivalently, there is a lipeomorphism

 $S^n \rightarrow S^n$ mapping S_1 and S_2 onto round spheres. In the topological category, the annulus conjecture follows from the TOP version of 3.2. This proof [2] is based on the Schönflies theorem, which is also true in LIP, [3, 7.8]. Hence it can be rewritten in LIP, and we obtain:

3.4. Theorem. The LIP annulus conjecture is true for $n \leq 3$. \Box

3.5. A metric space J is a LIP arc, half string or string if it is lipeomorphic to a closed, half open or open interval, respectively. If $J \subset \mathbb{R}^n$, it is called locally LIP flat if each point of J has a neighborhood U such that $(U, U \cap J)$ is lipeomorphic to $(\mathbb{R}^n, \mathbb{R}^1)$ or $(\mathbb{R}^n, \mathbb{R}^1_+)$. In this case, there is a neighborhood G of J such that (G, J)is lipeomorphic to $(\mathbb{R}^n, \mathbb{I}^1)$, $(\mathbb{R}^n, \mathbb{R}^1_+)$ or $(\mathbb{R}^n, \mathbb{R}^1)$. This is seen by rewriting the corresponding TOP proofs [6, 3.4] in the LIP category. This justifies the abbreviation "LIP flat" for "locally LIP flat LIP" arcs, half strings and strings. If $J \subset \mathbb{R}^n$ is a LIP flat arc, it follows from the LIP Schönflies theorem that (\mathbb{R}^n, J) is lipeomorphic to $(\mathbb{R}^n, \mathbb{I}^1)$.

3.6. Theorem. Let n=2 or 3 and let $G \subset \mathbb{R}^n$ be a domain containing sets J_1, J_2 each of which is a LIP flat arc or half string, closed in G. If P is an end point of J_1 and Q an end point of J_2 , there is a LIP flat arc $J \subset G$ joining P and Q such that $J_1 \cup J \cup J_2$ is a LIP flat arc, half string or string.

Proof. Choose an open LIP *n*-ball $D \subset G$ containing *P* and *Q* such that $D \setminus (J_1 \cup J_2)$ is connected. For n=3 the latter condition is automatically true. For n=2 we can use the fact that an open TOP disk in R^2 is also an open LIP disk by the Riemann mapping theorem or by [3, 8.4]. Let $g: D \to R^n$ be a lipeomorphism. Set $J_i^* = g[D \cap J_i]$. We may assume that J_1^* is a line segment near g(P). There is a sense-preserving lipeomorphism $f: R^n \to R^n$ such that fJ_2^* is a line segment near f(g(Q)). By 3.2, f can be written as $f_k \dots f_1$, where each $f_j: R^n \to R^n$ is a lipeomorphism and $f_j | U_j = id$ for some open $U_j \neq \emptyset$. (Actually, it is possile to choose k=2, which would slightly simplify the proof.) Choose a PL arc A_1 joining g(P) to a point $y_1 \in U_1$ such that $A_1 \cap J_1^* = g(P)$ and $A_1 \cap J_2^* = \emptyset$. Next choose a PL arc A_2 joining y_1 to $y_2 \in U_2$ such that $A_2 \cap f_1[J_1^* \cup A_1] = y_1$ and $A_2 \cap f_1J_2^* = \emptyset$. Continuing similarly we obtain PL arcs A_3, \dots, A_k with end points $y_j \in U_j$. Finally join y_k to f(g(Q)) by a PL arc A_{k+1} which does not meet the sets fJ_1^*, fJ_2^* and $J^* = = fA_1 \cup f_k \dots f_2 A_2 \cup \dots \cup f_k A_k$ except at the end points. Then $J = g^{-1}f^{-1}[J^* \cup A_{k+1}]$ is the desired arc. \Box

3.7. Theorem. Every LIP 2-manifold is lipeomorphic to a PL manifold.

Proof. Let M be a LIP 2-manifold. Then there is a PL manifold P and a homeomorphism $f: P \rightarrow M$. Choose a triangulation K of P such that for every simplex $\sigma \in K$, $f\sigma$ is contained in an open LIP disk or half disk $U(\sigma)$. For the vertices v of K we can choose U(v) so that $f^{-1}U(v) \subset \operatorname{st}(v, K)$ and the sets U(v) are disjoint. Let g be a lipeomorphism of U(v) onto R^2 or R^2_+ such that g(f(v))=0. Let τ be a 1-simplex having v as a vertex. Let $b(v, \tau)$ be the last point of $f\tau$ lying in $g^{-1}I^2$, and let $A(v, \tau)$ be the line segment joining 0 and $g(b(v, \tau))$. Then $J(v, \tau) = g^{-1}A(v, \tau)$ is a LIP flat arc in U(v).

Let τ be an arbitrary 1-simplex of K, not lying on ∂P . It has two vertices v_1 , v_2 , and is a face of two 2-simplexes σ_1 , σ_2 . Set $b_i = b(v_i, \tau)$, and let τ_0 be the subarc of $f\tau$ having end points b_i . Choose an open neighborhood $V(\tau)$ of τ_0 in $U(\sigma_1) \cap \cap U(\sigma_2) \cap (f\sigma_1 \cup f\sigma_2)$ such that the sets $V(\tau)$ are disjoint. By 3.6, we can join b_1 and b_2 in $V(\tau)$ by an arc which together with $J(v_1, \tau)$ and $J(v_2, \tau)$ forms a LIP flat arc $A(\tau)$ whose end points are $f(v_1)$ and $f(v_2)$.

If $\tau \subset \partial P$, we set $A(\tau) = f\tau$. For every 1-simplex τ , we choose a lipeomorphism $h: \tau \to A(\tau)$ such that $h | \partial \tau = f | \partial \tau$. These maps give a LIP embedding $h: |K^1| \to M$. If σ is a 2-simplex of K, $h \partial \sigma$ is a locally LIP flat LIP circle in $U(\sigma)$. By the LIP Schönflies theorem, $h | \partial \sigma$ can be extended to a LIP embedding $\sigma \to M$, and we obtain a lipeomorphism $P \to M$. \Box

3.8. Theorem (Lipvermutung, n=2). If M_1 , M_2 are homeomorphic LIP 2-manifolds, they are lipeomorphic.

Proof. By 3.7, M_i is lipeomorphic to a PL manifold P_i . By the classical 2-dimensional hauptvermutung, P_1 and P_2 are PL homeomorphic, hence lipeomorphic. \Box

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