ON SOME EXTENSIONS OF A THEOREM OF HARDY AND LITTLEWOOD

H. STEGBUCHNER

1. Introduction. Let $G \subseteq \mathbb{C}$ be a domain with $\partial G \neq \emptyset$ and $\omega(t)$ a modulus of continuity, that is, a continuous and increasing function $\omega(t)$ $(t \ge 0)$ with

(1)
$$\begin{cases} (i) \quad \omega(t) > 0 \quad \text{for} \quad t > 0, \\ (ii) \quad \lim_{t \to 0^+} \omega(t) = 0 \quad \text{and} \\ (iii) \quad \omega(t_1 + t_2) \le \omega(t_1) + \omega(t_2). \end{cases}$$

With G and ω we associate the following class of functions:

 $A_{\omega}(G) = \{f: G \rightarrow \mathbb{C}; f \text{ regular in } G \text{ and continuous in } \overline{G} \text{ with } f$

$$|f(z)-f(w)| \leq \omega(\delta) \,\forall z, w \in \overline{G} \text{ with } |z-w| \leq \delta \}.$$

If $\omega(t) = O(t^{z})$ ($0 < \alpha \leq 1$) (that is, $f \in A_{\omega}$ is Lipschitz-continuous in \overline{G}), we will write $A_{\alpha}(G)$. For $G = U = \{z : |z| < 1\}$ we have the following result due to Hardy and Littlewood [1]:

 $f \in A_{\alpha}(\overline{U})$ if and only if for all $z \in U$ with |z| = r

(2)
$$|f'(z)| \leq C \cdot (1-r)^{\alpha-1} = C \cdot (\operatorname{dist}(z, \partial U))^{\alpha-1}.$$

In [7] it was shown that (2) has a natural extension to so-called uniform domains (see [5]). This result contains the previous generalizations [3] and [9] as special cases. We will show that (2) remains valid if we replace U by a uniform domain D and α by any modulus of continuity $\omega(t)$. Hence our theorems will contain the result of [7] as a special case.

The main idea in what follows is to sharpen the following known necessary condition (see Theorem 1 below):

For $f \in A_{\omega}(\overline{G})$, $z \in G$ and $\delta = \text{dist}(z, \partial G)$ we have

$$|f'(z)| \leq \omega(\delta)/\delta.$$

Proof. We write $B = B_{\delta}(z) = \{w \in G : |w - z| \le \delta\}$. Since $f \in A_{\omega}(\overline{G})$ we have

$$|f'(z)| \leq \left|\frac{1}{2\pi i} \int_{\partial B} \frac{f(\zeta)}{(\zeta-z)^2} d\zeta\right| = \left|\frac{1}{2\pi i} \int_{\partial B} \frac{f(\zeta) - f(z)}{(\zeta-z)^2} d\zeta\right| \leq \frac{1}{2\pi} \int_{\partial B} \omega(\delta)/\delta^2 d\zeta = \omega(\delta)/\delta.$$

It seems that (3) is too weak to show that this condition is also sufficient for f to belong to $A_{\omega}(\overline{G})$ (even in the case G=U; see [3]).

2. Uniform domains and moduli of continuity. As in [6] a domain $G \subseteq C$ is called an (α, β) -John domain $0 < \alpha \leq \beta < \infty$) if there is a point $z_0 \in G$ such that each point $z \in G$ can be joined with z_0 by means of a rectifiable path $\gamma: [0, d] \rightarrow G$ (arc length as parameter) with

(4)
$$\begin{cases} (i) \quad \gamma(0) = z, \quad \gamma(d) = z_0, \\ (ii) \quad d \leq \beta \quad \text{and} \\ (iii) \quad \text{dist} (\gamma(s), \partial G) \geq \alpha \cdot s/d \quad (0 \leq s \leq d). \end{cases}$$

A domain $D \subseteq C$ is called an (α, β) -uniform domain $(0 < \alpha \leq \beta < \infty)$ if for all $z_1, z_2 \in D, z_1 \neq z_2$, there is an $(\alpha | z_1 - z_2 |, \beta | z_1 - z_2 |)$ -John domain G in D containing z_1 and z_2 .

At first sight the definition for a uniform domain looks complicated, but it turns out that a simply connected domain $D \neq C$ is uniform if and only if it is a quasiconformal disc (see [6]). Because of this the boundary ∂D need not be lipschitzian and its Hausdorff dimension may be arbitrary near 2 (see [5]). There is also an interesting conformally invariant condition (see [5]).

In [4; Ch. 3] Lorentz shows that if ω is a modulus of continuity as in (1), then there is a concave modulus of continuity ω^* with

$$\omega(t) \leq \omega^*(t) \leq 2 \cdot \omega(t)$$

for all $t \ge 0$. Hence in the rest of this note all moduli of continuity ω will be assumed concave.

If $\omega(t)$ $(t \ge 0)$ is concave, then $\omega(t)$ is continuous for $t \ge 0$, has a right hand derivative $D^+\omega(t)$ at each $t\ge 0$ (with, possibly, $D^+\omega(0)=\infty$) and a left hand derivative $D^-\omega(t)$ at each t>0. For $0\le t_1\le t_2$, we have

(5)
$$D^+\omega(t_1) \ge D^-\omega(t_2) \ge D^+\omega(t_2).$$

Hence $\omega'(t)$ exists and is continuous except for at most countably many t, and we will also have (see [2; Theorem 18.14])

(6)
$$\int_{0}^{\delta} D^{+}\omega(t) dt = \int_{0}^{\delta} \omega'(t) dt \leq \omega(\delta) - \omega(0) = \omega(\delta).$$

From (iii) of (1) one can deduce the inequality

(7)
$$\omega(\lambda \cdot t) \leq (\lambda+1) \cdot \omega(t) \,\forall \, \lambda > 0.$$

Finally we have for $0 < t_1 < t_2$

(8)
$$D^+\omega(t_1) \ge \frac{\omega(t_2) - \omega(t_1)}{t_2 - t_1}.$$

Proof. Since $\omega(t)$ is concave we have for $0 \le \lambda \le 1$

$$\omega(t_1 + \lambda(t_2 - t_1)) \ge \omega(t_1) + \lambda \cdot (\omega(t_2) - \omega(t_1))$$

and hence

$$D^+\omega(t_1) = \lim_{\substack{\lambda \neq 0 \\ \lambda \leq 1}} \frac{\omega(t_1 + \lambda \cdot (t_2 - t_1)) - \omega(t_1)}{\lambda \cdot (t_2 - t_1)}$$

$$\geq \lim_{\lambda \neq 0} \frac{\omega(t_1) + \lambda \cdot (\omega(t_2) - \omega(t_1)) - \omega(t_1)}{\lambda \cdot (t_2 - t_1)} = \frac{\omega(t_2) - \omega(t_1)}{t_2 - t_1}.$$

3. The main results. First we will improve the estimate (3).

Theorem 1. Let $G \subseteq \mathbf{C}$ be a domain with $\partial G \neq \emptyset$, $\omega(t)$ any modulus of contimulty and $f \in A_{\omega}(\overline{G})$. Then we have for all $z \in G$ with $d_z = \text{dist}(z, \partial G)$

(9)
$$|f'(z)| \leq C \cdot D^+ \omega(d_z),$$

where C is a constant independent of z.

Proof. Suppose there is a sequence $\{z_n\}, n=1, 2, ...$ of points in G with d_{z_n} dist $(z_n, \partial G)$ and

$$\lim_{n\to\infty}\frac{|f'(z_n)|}{D^+\omega(d_{z_n})}=+\infty.$$

Without loss of generality we may assume that $d_{z_n} \ge d_{z_{n+1}} > 0$ for $n \ge 1$. Let $Q_n =$ $|f'(z_n)|/D^+\omega(d_{z_n})$, choose $n(0) \ge 1$ such that $\tilde{Q}_{n(0)} \ge 1$, and let $\delta_0 = d_{z_{n(0)}} > 0$. Suppose that $\delta_0, \delta_1, ..., \delta_k$ and n(0), ..., n(k) have already been defined. Let δ_{k+1}^* be the unique number in $(0, \delta_k)$ with

(10)
$$\omega(\delta_{k+1}^*) = \frac{1}{2} \cdot \omega(\delta_k)$$

and look for $n=n(k+1)\ge n(k)$ with

(11)
$$0 < d_{z_n(k+1)} \le \delta_{k+1}^* \quad \text{and}$$

(12)
$$Q_{n(k+1)} \ge 2^{k+1}$$
.

Because of $\lim_{n\to\infty} d_{z_n} = 0$ and $\overline{\lim}_{n\to\infty} Q_n = +\infty$ this is always possible. Now define $\delta_{k+1} = d_{z_{n(k+1)}}$. By (10), (11) and (12) we therefore have

(13)
$$|f'(z_{n(k)})| \ge 2^k \cdot D^+ \omega(\delta_k)$$
 and

(14)
$$0 < \omega(\delta_{k+1}) \leq \frac{1}{2} \cdot \omega(\delta_k), \quad k = 1, 2, \dots$$

For the sake of simplicity let us write z_k instead of $z_{n(k)}$. Now let $k \ge 1$, $\delta = \delta_k/2$,

and $B = B_{\delta}(z_k)$. Because of dist $(z_k, \partial G) = \delta_k > \delta$ we have

$$\begin{split} |f'(z_k)| &= \left| \frac{1}{2\pi i} \int_{\partial B} \frac{f(\zeta) - f(z_k)}{(\zeta - z_k)^2} \, d\zeta \right| \\ &\leq \max_{\zeta \in \partial B} |f(\zeta) - f(z_k)| \cdot \frac{1}{2\pi} \int_{\partial B} d\zeta / \delta^2 = 2 \cdot |f(\zeta_0) - f(z_k)| / \delta_k \end{split}$$

with $|\zeta_0 - z_k| = \delta_k/2$. Hence with (13), (8) and (14) we have

(15)

$$|f(\zeta_{0})-f(z_{k})| \geq \frac{1}{2} \cdot \delta_{k}|f'(z_{k})| \geq 2^{k-1} \cdot \delta_{k} \cdot D^{+}\omega(\delta_{k})$$

$$\geq 2^{k-1} \cdot \delta_{k} \cdot \frac{\omega(\delta_{k})-\omega(\delta_{k+1})}{\delta_{k}-\delta_{k+1}} \geq 2^{k-1} (\omega(\delta_{k})-\omega(\delta_{k+1}))$$

$$\geq 2^{k-1} \left(\omega(\delta_{k})-\frac{1}{2} \cdot \omega(\delta_{k})\right) = 2^{k-2} \cdot \omega(\delta_{k}).$$

On the other hand we have by (7)

$$|f(\zeta_0) - f(z_k)| \leq \sup_{|z-w| \leq \delta} |f(z) - f(w)| \leq \omega(\delta) = \omega\left(\frac{1}{2} \cdot \delta_k\right) \leq \frac{3}{2} \cdot \omega(\delta_k),$$

which is a contradiction to (15) if $k \ge 4$.

Remark. If $\omega(t) = C \cdot t^{\alpha}$ ($0 < \alpha \le 1$), then (3) and (9) give the same bound (up to a constant). But, if $\lim_{t \to 0} \omega(t)/t^{\alpha} = +\infty$ for every $\alpha > 0$, then (9) is much stronger than (3).

Theorem 2. Suppose that $D \subseteq C$, $D \neq \emptyset$, is an (α, β) -uniform domain and that $f: D \rightarrow C$ is regular in D. If, for any $z \in D$ and $d_z = \text{dist}(z, \partial D)$

$$|f'(z)| \leq C \cdot D^+ \omega(d_z),$$

then $f \in A_{\omega}(\overline{D})$.

Proof. The proof is similar to that of [7]. If $z_1, z_2 \in D, z_1 \neq z_2$, then there is an $(\alpha | z_1 - z_2 |, \beta | z_1 - z_2 |)$ -John domain $G \subseteq D$ containing z_1 and z_2 . Let z_0 be the point as in the definition of a John domain and let γ_k : $[0, d_k] \rightarrow G$ be the corresponding paths joining z_k to z_0 (k=1, 2). Then

$$I_{k} = \left| \int_{\gamma_{k}} f'(\zeta) d\zeta \right| \leq \int_{0}^{d_{k}} f'(\gamma_{k}(s)) | ds \leq C \cdot \int_{0}^{d_{k}} D^{+} \omega (\operatorname{dist} (\gamma_{k}(s), \partial G)) ds.$$

Hence by (iii) of (4), (5), (6) and (ii) of (4) we have

$$I_k \leq C \cdot \int_0^{d_k} D^+ \omega(\alpha |z_1 - z_2| \cdot s/d_k) \, ds = C \cdot \int_0^{d_k} \omega'(\alpha |z_1 - z_2| \cdot s/d_k) \, ds$$
$$= \frac{C \cdot d_k}{\alpha \cdot |z_1 - z_2|} \int_0^{\alpha |z_1 - z_2|} \omega'(t) \, dt \leq \frac{C \cdot d_k}{\alpha \cdot |z_1 - z_2|} \cdot \omega(\alpha |z_1 - z_2|) \leq \frac{C(\alpha + 1)}{\alpha} \cdot \omega(|z_1 - z_2|).$$

Finally we obtain from this last estimate uniformly in D

$$|f(z_1)-f(z_2)| = \Big| \int_{z_1}^{z_2} f'(\zeta) d\zeta \Big| \leq I_1 + I_2 \leq \frac{2C(\alpha+1)}{\alpha} \cdot \omega(|z_1-z_2|).$$

Remark. Theorem 4 of [7] shows that an (α, β) -uniform domain is fat in the sense of [8] if its complement $C \ D$ only has a finite number of components. Hence by [7; Theorem 2.6] we also have

Theorem 3. Let D be an (α, β) -uniform domain so that $\mathbb{C} \setminus D$ has a finite number of components. Let $f: D \to \mathbb{C}$ be regular in D and continuous in \overline{D} . Then

$$\sup_{\substack{|\zeta_1-\zeta_2|\leq\delta\\\zeta_1,\,\zeta_2\in\partial D}}|f(\zeta_1)-f(\zeta_2)|\leq C_1\cdot\omega(\delta)$$

if and only if (9) holds in D.

Note that even in the Lipschitz case of the unit disc Theorem 3 is not true any longer if "regular" is replaced by "harmonic".

References

- HARDY, G. H., and J. E. LITTLEWOOD: Some properties of fractional integrals II. Math. Z. 34, 1932, 403–439.
- [2] HEWITT, E., and K. STROMBERG: Real and abstract analysis. Springer Verlag, Berlin—New York—Heidelberg, 1965.
- [3] JOHNSTON, E. H.: Growth of derivatives and the modulus of continuity of analytic functions.
 Rocky Mountain J. Math. 9, 1979, 671-681.
- [4] LORENTZ, G. G.: Approximation of functions. Holt, Rinehart and Winston, New York-Chicago-San Francisco-Toronto-London, 1966.
- [5] MARTIO, O.: Definitions for uniform domains. Ann. Acad. Sci. Fenn. Ser. A. I Math. 5, 1980, 197-205.
- [6] MARTIO, O. and J. SARVAS: Injectivity theorems in plane and space. Ann. Acad. Sci. Fenn. Ser. A I Math. 4, 1978/79, 383-401.
- [7] MARTIO, O.: New methods in injectivity theorems connected with Schwarzian derivative. -Proc. of the 18th Scandinavian Congress of Mathematics. Progress in Mathematics (to appear).
- [8] RUBEL, L. A., A. L. SHIELDS and B. A. TAYLOR: Mergelyan sets and the modulus of continuity of analytic functions. J. Approx. Theory 15, 1975, 23-40.
- [9] SEWELL, W. E.: Degree of approximation by polynomials in the complex domain. Princeton University Press, Princeton, 1942.

University of Salzburg Department of Mathematics Petersbrunnstraße 19 A-5020 Salzburg Austria

Received 11 March 1981