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ON A RESULT OF WINKLER

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1. Introduction and results

I thank Professor O. Lehto for suggesting this subject to me.

We shall use the usual notations of the Nevanlinna theory.

Let a_k be a sequence of non-zero complex numbers such that $a_k \to \infty$ as $k \to \infty$. We denote by n(r) the number of points a_k satisfying $|a_k| \leq r$. It is well known that there exists an entire function of the form

(1.1)
$$F(z) = \prod_{k=1}^{\infty} E_{p_k}(z/a_k),$$

where

$$E_{p_k}(u) = (1-u) \exp\left(u + \left(\frac{1}{2}\right)u^2 + \dots + (1/p_k)u^{p_k}\right),$$

such that F has exactly the zeros a_k .

Let [x] be the integer part of a non-negative real number x. Winkler [2] proved the following theorem.

Theorem A. Let a_k be as above and suppose that $\sigma > 1$. Then the entire function *F* of the form (1.1) with

$$p_k = [\log n^{\sigma}(|a_k|)]$$

satisfies

(1.2) $\log M(r, F) = O(N(\gamma r, 0, F)^{\sigma(1 + \log r)}) \quad (r \to \infty)$

for any $\gamma > \exp(\sqrt[\gamma]{1/\sigma})$.

There arise the following two questions. Does the rapid growth of n(r) imply that we must take a rapidly growing sequence p_k in (1.1), and does the rapid growth of n(r) imply that, for any entire function F of the form (1.1), $\log M(r, f)$ is essentially larger than N(r, 0, f)?

We shall give a negative answer to both of these questions. We prove the following

Theorem. Given any increasing function $\varphi(r)$ such that $\varphi(r) \rightarrow \infty$ as $r \rightarrow \infty$, there exists a sequence a_k , $a_k \rightarrow \infty$ as $k \rightarrow \infty$, such that the product

$$\prod_{k=1}^{\infty} (1 - z/a_k)$$

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converges uniformly on bounded subsets of the complex plane and that the entire function $f(z) = \prod_{k=1}^{\infty} (1 - z/a_k)$

satisfies

(1.3) $\varphi(r) = O(n(r, 0, f)) \quad (r \to \infty)$

and

(1.4) $\log M(r,f) = N(r,0,f) + O(1) \quad (r \to \infty).$

2. Proof of the Theorem

Let z_n be the following sequence constructed by Erdös [1, Problem 4.1]: Let $z_1=1, z_2=-1$, and if z_n has already been defined for $1 \le p \le 2^k$, then we define for $1 \le p \le 2^k$,

$$z_{p+2^k} = z_p \exp\left(2^{-k}\pi i\right).$$

Lemma. Let z_n be as above, 0 < d < 1/8, and suppose that $s \ge 1$ is an integer. Then

$$(2.1) \qquad \qquad \log \prod_{n=1}^{s} (1-z/z_n) \leq 4d$$

on $|z| \leq d$, where $\log w$ is chosen so that $\log 1=0$.

Proof. It follows from the choice of z_n that for any integers $p \ge 0$ and $k \ge 1$ there exists a real φ such that

(2.2)
$$\prod_{n=p2^{k}+1}^{(p+1)2^{k}} (1-z/z_{n}) = 1 - (ze^{i\varphi})^{2^{k}}$$

and that $\varphi = 0$ if p = 0.

Let k be chosen so that $2^k \leq s < 2^{k+1}$. Then

$$s = \sum_{p=0}^{k} t_p 2^{k-p},$$

where $t_p(1-t_p)=0$ for any p, and we deduce from (2.2) that if $|z| \leq d$, then

$$\left|\log \prod_{n=1}^{s} (1-z/z_n)\right| \le 2 \sum_{p=0}^{k} t_p \, d^{2^{k-p}} \le 2 \sum_{p=1}^{\infty} d^p < 4d,$$

which proves the Lemma.

Proof of the Theorem. Let $\varphi(r)$ be as in the Theorem. We choose a positive integer k_1 such that

$$n_1 = 2^{k_1} > \varphi(16)$$

and set

$$a_n = 4z_n$$
 for $n = 1, 2, ..., n_1$,

and if a_n has already been defined for $n=1, ..., n_{p-1}$, we choose a positive integer k_p such that

(2.3)
$$n_p = n_{p-1} + 2^{k_p} > \varphi(4^{p+1})$$

and set

$$a_n = 4^p z_{n-n_{p-1}}$$
 for $n = n_{p-1} + 1, ..., n_p$.

 $f_{s}(z) = \prod_{p=1}^{s} \left(1 - (4^{-p}z)^{2^{k_{p}}} \right)$

and

Let

$$f(z) = \lim_{s \to \infty} f_s(z).$$

Clearly $f_s(z) \rightarrow f(z)$ uniformly on bounded subsets of the complex plane. From (2.3) it follows that f satisfies (1.3).

We define

$$f_s(z) = \prod_{n=1}^{n_s} (1 - z/a_n)$$

for any s, and we deduce from the Lemma that if $|z| \leq M$ and $n_s < t \leq n_{s+1}$, then

$$\begin{aligned} \left| \log \left((1/f(z)) \prod_{n=1}^{t} (1-z/a_n) \right) \right| &\leq \left| \log \left(f_s(z)/f(z) \right) \right| + \left| \log \prod_{n=n_s+1}^{t} (1-z/a_n) \right| \\ &\leq o(1) + O(M/4^s) = o(1) \quad (t \to \infty), \end{aligned}$$

which implies that

$$\prod_{n=1}^{t} (1 - z/a_n) \to f(z)$$

uniformly on bounded subsets of the complex plane.

Suppose that $4^{s}/2 \le r < 4^{s+1}/2$. We get

$$\log M(r, f) - N(r, 0, f) \leq \sum_{q=1}^{\infty} \log \left(1 + (r/4^{q})^{2^{k_{q}}} \right) - \sum_{q=1}^{s} 2^{k_{q}} \log^{+} (r/4^{q})$$
$$\leq \sum_{q=1}^{s-1} \log \left(1 + (4^{q}/r)^{2^{k_{q}}} \right) + \log \left(1 + (r/4^{s})^{2^{k_{s}}} \right) - 2^{k_{s}} \log^{+} (r/4^{s}) + O(1)$$
$$= O(1) + \min \left\{ \log \left(1 + (r/4^{s})^{2^{k_{s}}} \right), \log \left(1 + (4^{s}/r)^{2^{k_{s}}} \right) \right\} = O(1) \quad (r \to \infty).$$

This implies that

(2.4)
$$\log M(r,f) \leq N(r,0,f) + O(1) \quad (r \to \infty).$$

From the first main theorem of the Nevanlinna theory we deduce that

$$N(r, 0, f) \leq T(r, f) + O(1) \leq \log M(r, f) + O(1) \quad (r \to \infty),$$

which together with (2.4) proves (1.4). The Theorem is proved.

References

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