# THE GROUP OF BIHOLOMORPHIC SELF-MAPPINGS OF SCHOTTKY SPACE

## **Clifford J. Earle**

#### 1. Introduction

The Schottky space  $S_p$  of marked Schottky groups of genus  $p \ge 2$  has very simple embeddings as a domain in  $\mathbb{C}^n$ , n = 3p - 3, and is therefore a tempting place to study the Riemann space  $R_p$  of all closed Riemann surfaces of genus p. In fact every closed Riemann surface has many Schottky coverings and every Schottky group has many markings, so  $R_p$  is the quotient space of  $S_p$  obtained by considering points in  $S_p$  to be equivalent if they represent the same Riemann surface. The resulting quotient map from  $S_p$  to  $R_p$  is a branched covering, but the covering is not regular. In other words the group of cover transformations, which in this case is the full group  $\operatorname{Aut}(S_p)$  of biholomorphic self-mappings of  $S_p$ , fails to act transitively on the fibers of the quotient map. Our purpose is to exhibit this non-transitivity very concretely by giving an explicit description of  $\operatorname{Aut}(S_p)$ . It consists entirely of the familiar mappings induced by changing the marking of the Schottky group.

We state our result formally in Section 3 as Theorem 1. Our proof of Theorem 1 depends on two topological observations, also stated in Section 3 as Theorems 2 and 3. The proofs are given in Sections 4, 5, and 6. They are quite straightforward. The interest of Theorem 1 lies not in the difficulty of its proof but in what it says about  $\operatorname{Aut}(S_p)$ : the biholomorphic self-mappings of  $S_p$  show us which points of  $S_p$  represent the same Schottky group with different markings, but they do nothing to show which points represent different Schottky coverings of the same Riemann surface.

We state a more concrete version of Theorem 1 in Section 7, where we describe  $\operatorname{Aut}(S_p)$  in terms of the obvious action on  $S_p$  of the outer automorphisms of a free group. Finally, in Section 8 we give explicit formulas for a set of generators of  $\operatorname{Aut}(S_p)$ , using a standard set of global coordinates for  $S_p$ .

Sections 2 and 3 summarize the facts about Schottky space that we need in this paper. More information about Schottky groups can be found in Maskit's book

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[17] or Bers's paper [3]. Schottky space is a simple example of a quasiconformal deformation space of a Kleinian group. For information about these more general spaces the reader should consult [12], [13], [4], or [16], which is especially relevant to our considerations. The papers [11] and [18] also have some relevance to our work. Our exposition in Section 2 owes something to [11] as well as to [16].

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## 2. The Schottky space

Choose a closed Riemann surface X of genus  $p \ge 2$  and a base point  $x_0$  on X. Let  $a_1, b_1, a_2, \ldots, b_p$  be a standard system of generators for  $\pi_1(X, x_0)$ . This means they are represented by simple loops on X that meet only at  $x_0$ , satisfy the standard relation

$$\prod_{j=1}^{p} a_j b_j a_j^{-1} b_j^{-1} = 1,$$

and are oriented so that  $a_j \times b_j = 1$  for each j. We denote by N the normal subgroup of  $\pi_1(X, x_0)$  generated by  $b_1, b_2, \ldots, b_p$ . The quotient group  $\pi_1(X, x_0)/N$  is the free group of rank p generated by the images of  $a_1, \ldots, a_p$  under the quotient map.

Let  $\Omega \to X$  be the covering surface of X defined by the subgroup N (see [17]). It is classical that  $\Omega$  can be mapped conformally into the complex plane and that any two such embeddings differ only by a Möbius transformation (see Theorem 2D and 19F in Chapter IV of [1]). The group  $\pi_1(X, x_0)/N$  of cover transformations then becomes a Kleinian group (i.e. a discrete group of Möbius transformations) that acts freely and properly discontinuously on the plane region  $\Omega$ . We fix such a conformal embedding once and for all, and we denote by  $G_p$  the Kleinian group of cover transformations. We also choose a system of free generators  $g_1, \ldots, g_p$  for  $G_p$ ; they will be used in Section 8.

The construction above can be applied to any closed Riemann surface of genus p, with any standard set of generators for  $\pi_1$ . The resulting covering surfaces are called Schottky coverings, and the associated Kleinian groups are the Schottky groups of genus p. By definition, a marked Schottky group of genus p is an isomorphism  $\theta: G_p \to G$  of our distinguished Schottky group  $G_p$  onto some Schottky group G. Two marked Schottky groups  $\theta$  and  $\theta'$  are equivalent if and only if there is a Möbius transformation A such that

$$\theta'(g) = A\theta(g)A^{-1}$$
 for every  $g$  in  $G_p$ .

The set of equivalence classes  $[\theta]$  is the Schottky space  $S_p$ . It is clear that the space  $S_p$  does not depend in an essential way on our choice of  $G_p$ , for the choice of

an isomorphism  $\theta: G_p \to G$  amounts to the same thing as the choice of a system of free generators  $\theta(g_1), \ldots, \theta(g_p)$  for the Schottky group G.

A remarkable observation of Chuckrow [6] says that for any marked Schottky group  $\theta: G_p \to G$  there is a quasiconformal homeomorphism f of the Riemann sphere such that  $\theta(g) = fgf^{-1}$  for every g in  $G_p$ . Therefore  $S_p$  coincides with the quasiconformal deformation space (see [12] or [16]) of  $G_p$ , and the theory of these deformation spaces applies to  $S_p$ . In particular,  $S_p$  is a complex manifold of dimension n = 3p - 3, and any injective holomorphic map of  $S_p$  into  $\mathbb{C}^n$  is a biholomorphic map onto a region in  $\mathbb{C}^n$ . Such injections are easily defined in terms of fixed points and multipliers of the transformations  $\theta(g)$ . See for example [3], [11], [13], or Section 8.

#### 3. The theorems

A fundamental theorem of Maskit (see Corollary 8 in [16]) states that the universal covering space of  $S_p$  is the Teichmüller space  $T_p$  and identifies the group of cover transformations. (Bers [2] had also proved that  $T_p$  covers  $S_p$ , but his description of the cover transformations was not explicit.) We shall describe that group presently. First we review the definition and some properties of  $T_p$ .

Let  $\mathcal{M}(X)$  be the space of all smooth (class  $C^{\infty}$ ) conformal structures on X, with the usual topology of  $C^{\infty}$  convergence (see [7], [8], or [18]). The group Diff  $^+(X)$  of all sense-preserving smooth diffeomorphisms of X acts (from the right) on  $\mathcal{M}(X)$  by pullback. The space  $T_p$  is the quotient space

$$T_p = \mathcal{M}(X) / \text{Diff}_0(X)$$

of  $\mathcal{M}(X)$  by the normal subgroup  $\operatorname{Diff}_0(X)$ , which consists of the diffeomorphisms that are homotopic to the identity.  $T_p$  inherits a complex analytic structure from  $\mathcal{M}(X)$  and is a contractible complex manifold of dimension 3p-3, homeomorphic to  $\mathbf{C}^{3p-3}$ . The quotient group  $\operatorname{Mod}(X) = \operatorname{Diff}^+(X)/\operatorname{Diff}_0(X)$  acts properly discontinuously on  $T_p$  as a group of biholomorphic maps, and a deep theorem of Royden says that every biholomorphic self-mapping of  $T_p$  is induced by some member of  $\operatorname{Mod}(X)$ . All this is classical (see [7], [9], [12], or [19]).

Now recall from Section 2 the normal subgroup N of  $\pi_1(X, x_0)$ , which determines the Schottky covering surface  $\Omega \to X$ . Following Maskit, we introduce some subgroups of Diff<sup>+</sup>(X) and Mod(X). Let Diff<sup>+</sup>(X, N) be the group of all f in Diff<sup>+</sup>(X) that can be lifted to a diffeomorphism  $\tilde{f}: \Omega \to \Omega$ , and let Diff<sub>0</sub>(X, N) be the subgroup consisting of all f that can be lifted to a diffeomorphism  $\tilde{f}: \Omega \to \Omega$  that commutes with the group  $G_p$ .

Since Diff  $_0(X)$  is a subgroup of Diff  $_0(X, N)$ , we can form the quotient groups

$$Mod^{*}(X, N) = Diff^{+}(X, N)/Diff_{0}(X),$$
$$Mod_{*}(X, N) = Diff_{0}(X, N)/Diff_{0}(X).$$

These are subgroups of Mod(X), so they act properly discontinuously on  $T_p$ . Maskit proved

**Theorem A** (Maskit [16]). The group  $Mod_*(X, N)$  acts freely on  $T_p$ , and  $S_p$  equals the quotient space  $T_p/Mod_*(X, N)$ .

Diff  $_0(X, N)$  is a normal subgroup of Diff  $^+(X, N)$ , so the quotient group

$$\Gamma_p = \text{Diff}^+(X, N) / \text{Diff}_0(X, N) = \text{Mod}^*(X, N) / \text{Mod}_*(X, N)$$

acts on  $S_p$  as a group of biholomorphic self-mappings. Our main result is

**Theorem 1.** Every biholomorphic self-mapping of  $S_p$  is induced by some member of  $\Gamma_p$ .

As J.A. Gentilesco pointed out under more general circumstances (see Theorem VIII of [10]), Theorem 1 is an easy consequence of Royden's analogous theorem about  $T_p$  and the purely topological

**Theorem 2.** The normalizer of  $Mod_*(X, N)$  in Mod(X) is  $Mod^*(X, N)$ .

We deduce Theorem 2 from the following topological theorem, which provides a tight relationship between the groups N and  $Mod_*(X, N)$ .

**Theorem 3.** Let c in  $\pi_1(X, x_0)$  be represented by a simple loop. Then  $c \in N$  if and only if the Dehn twist  $\tau(c)$  on c belongs to  $Mod_*(X, N)$ .

**Remarks.** 1) For a discussion of Dehn twists see [5].

2) Theorems 1 and 2 measure the failure of  $Aut(S_p)$  to act transitively on the fibers of the quotient map from  $S_p$  to  $R_p$ . The Riemann space is the quotient

$$R_p = T_p / \mathrm{Mod}\,(X),$$

and  $S_p/\operatorname{Aut}(S_p) = T_p/\operatorname{Mod}^*(X, N)$ , so the map from  $S_p/\operatorname{Aut}(S_p)$  to  $R_p$  has fibers generically isomorphic to the set of cosets of  $\operatorname{Mod}^*(X, N)$  in  $\operatorname{Mod}(X)$ .

It is known that  $\operatorname{Mod}^*(X, N)$  is a rather thin subgroup of  $\operatorname{Mod}(X)$ . In fact Masur [18] showed that  $\operatorname{Mod}^*(X, N)$  acts properly discontinuously on a nontrivial open subset of Thurston's sphere  $P\mathcal{F}$  of projective measured foliations. In contrast,  $\operatorname{Mod}(X)$  acts minimally, even ergodically, on  $P\mathcal{F}$  (see[18]).

The Dehn twist  $\tau(a_1)$  provides an obvious example of an element of Mod(X) that does not belong to  $Mod^*(X, N)$ .

3) The action of the Schottky group  $G_p$  extends to hyperbolic 3-space, and the quotient of hyperbolic 3-space by  $G_p$  is a solid handlebody H bounded by the surface X. Diff  $^+(X, N)$  is just the subgroup of Diff  $^+(X)$  that can be extended to H, and Diff  $_0(X, N)$  consists of the diffeomorphisms whose extensions to Hare homotopic to the identity in H.

4) According to an interesting theorem of Luft [14], the Dehn twists  $\tau(c)$  in Theorem 3 generate the group  $Mod_*(X, N)$ .

5) Hejhal [11] characterizes the covering group  $Mod_*(X, N)$  by a lifting property that differs slightly from (3.2). Maskit's characterization in terms of (3.2) is more useful for us here.

#### 4. Proof of Theorem 1

For the reader's convenience we shall derive Theorem 1 from Theorem 2, following the method of Gentilesco [10]. Let  $\varphi: S_p \to S_p$  be a biholomorphic self-mapping of  $S_p$ . The quotient map from  $T_p$  to  $S_p$  in Maskit's Theorem A is a holomorphic universal covering, so  $\varphi$  lifts to a biholomorphic self-mapping  $\psi$ of  $T_p$ . By Royden's theorem,  $\psi$  is induced by an element  $\theta$  of Mod(X). Since  $\theta$  and  $\theta^{-1}$  both induce the maps on  $S_p$ , we must have  $\theta\sigma\theta^{-1} \in Mod_*(X,N)$ and  $\theta^{-1}\sigma\theta \in Mod_*(X,N)$  for all  $\sigma$  in  $Mod_*(X,N)$ . Therefore  $\theta$  belongs to the normalizer of  $Mod_*(X,N)$ , which, by Theorem 2, equals  $Mod^*(X,N)$ . QED

## 5. Proof of Theorem 2

Since  $\operatorname{Diff}_0(X) \subset \operatorname{Diff}_0(X,N)$ , Theorem 2 is equivalent to the statement that the normalizer of  $\operatorname{Diff}_0(X,N)$  in  $\operatorname{Diff}^+(X)$  is  $\operatorname{Diff}^+(X,N)$ . That is what we shall prove.

Let f belong to Diff  $^+(X)$  and let c belong to  $\pi_1(X, x_0)$ . Since N is a normal subgroup, the statement that f(c) belongs to N makes sense even though f need not preserve the base point  $x_0$ . Covering space theory then tells us that  $f \in \text{Diff}^+(X, N)$  if and only if both  $f(c) \in N$  and  $f^{-1}(c) \in N$  whenever  $c \in N$ . It obviously suffices to have  $f(b_j) \in N$  and  $f^{-1}(b_j) \in N$  for  $1 \leq j \leq p$ .

Now let f belong to the normalizer of Diff  $_0(X, N)$ . Let  $\tau(b_j)$  be (a representative in Diff  $^+(X)$  of) the Dehn twist on  $b_j$ . By Theorem 3,  $\tau(b_j) \in \text{Diff}_0(X, N)$ , so  $f\tau(b_j)f^{-1} \in \text{Diff}_0(X, N)$ . But  $f\tau(b_j)f^{-1}$  is (represents) the Dehn twist on  $f(b_j)$  (see [5]). Therefore, by Theorem 3,  $f(b_j) \in N$ . The same reasoning applied to  $f^{-1}$  shows that  $f^{-1}(b_j)$  also belongs to N. Therefore  $f \in \text{Diff}^+(X, N)$ . QED

### 6. Proof of Theorem 3

We will do the trivial implication first. Suppose the simple geodesic loop  $\gamma$  represents c in N. Choose a small collar C about  $\gamma$ . By definition of the covering surface  $\pi: \Omega \to X$ , each connected component of  $\pi^{-1}(C)$  in  $\Omega$  is mapped homeomorphically onto C by  $\pi$ .

Now choose a diffeomorphism f that equals the identity in  $X \setminus C$  and represents the Dehn twist  $\tau(c)$ . Lift f to a diffeomorphism  $\tilde{f}: \Omega \to \Omega$  by putting  $\tilde{f} = \operatorname{id}$  in  $\Omega \setminus \pi^{-1}(C)$  and  $\tilde{f} = (\pi | \tilde{C})^{-1} \circ f \circ (\pi | \tilde{C})$  in each connected component  $\tilde{C}$  of  $\pi^{-1}(C)$ . Since  $\tilde{f}$  commutes with  $G_p$ ,  $f \in \operatorname{Diff}_0(X, N)$  and  $\tau(c) \in \operatorname{Mod}_*(X, N)$  as required.

Conversely, suppose  $\tau(c) \in \operatorname{Mod}_*(X, N)$ . As before, we choose a small collar C about a simple geodesic loop  $\gamma$  that represents c, and we represent  $\tau(c)$  by a diffeomorphism f that equals the identity in  $X \setminus C$ . By hypothesis, f has a lift  $\tilde{f}: \Omega \to \Omega$  that commutes with the group  $G_p$ .

We shall assume that  $c \notin N$  and look for a contradiction. Let  $\beta_1$  and  $\beta_2$  be the two boundary loops of C. Since  $c \notin N$ , each connected component of

 $\pi^{-1}(\beta_1 \cup \beta_2)$  is a simple arc  $\beta$  in  $\Omega$ . Let  $\varphi_\beta$  be a generator of the infinite cyclic group of all  $\varphi$  in  $G_p$  such that  $\varphi(\beta) = \beta$ . Then  $\beta$  connects the two fixed points of  $\varphi_\beta$  (which are boundary points of  $\Omega$ ).

First we shall prove that  $\tilde{f} = \operatorname{id} \operatorname{in} \Omega \setminus \pi^{-1}(C)$ . Let Y be a connected component of  $\Omega \setminus \pi^{-1}(C)$ , and let H be the subgroup of  $G_p$  that maps Y onto itself. Since  $f = \operatorname{id}$  on  $X \setminus C$ , there is some  $\psi$  in  $G_p$  such that  $\tilde{f} = \psi$  on Y. Since  $\tilde{f}$  commutes with  $G_p$ ,  $\psi$  commutes with the subgroup H. Suppose  $\psi \neq id$ . Then H is cyclic and all nontrivial elements of H have the same two fixed points. Now the boundary of Y in  $\Omega$  consists of arcs  $\beta$  in  $\pi^{-1}(\beta_1 \cup \beta_2)$ . Each  $\varphi_\beta$  belongs to H, and  $\beta$  connects its fixed points, so Y must be a Jordan region bounded by the union of two arcs  $\beta, \beta'$  and their common endpoints. Therefore Y/H is an annulus. Since Y/H is a connected component of  $X \setminus C$  and X has genus  $p \geq 2$ , this is nonsense. Therefore  $\psi = \operatorname{id}$ , so  $\tilde{f}$  is the identity in Y and hence in  $\Omega \setminus \pi^{-1}(C)$ .

It is now easy to reach the desired contradiction. Let  $\tilde{C}$  be a connected component of  $\pi^{-1}(C)$ . Then  $\tilde{C}$  is a Jordan domain whose boundary is the union of two arcs  $\beta$  and  $\beta'$  as above, and their common endpoints. The stabilizer of  $\tilde{C}$  in  $G_p$  is the cyclic group generated by  $\varphi_{\beta}$ . Since  $\tilde{f} = \operatorname{id}$  in  $\Omega \setminus \pi^{-1}(C)$ ,  $\tilde{f}$  maps  $\tilde{C}$  onto itself and equals the identity on the boundary of  $\tilde{C}$ . In addition,  $\tilde{f}$  commutes with  $\varphi_{\beta}$ .

It is easy to construct a  $\varphi_{\beta}$ -equivariant homotopy of  $\tilde{f}$  to the identity in  $\tilde{C}$ , holding the boundary of  $\tilde{C}$  pointwise fixed. (For instance there is a conformal map that takes  $\tilde{C}$  to a closed horizontal strip  $\{z = x + iy; |y| \leq r\}$  and  $\varphi_{\beta}$  to  $z \mapsto z+1$ . We can then set  $\tilde{f}_t(z) = tz + (1-t)\tilde{f}(z)$  in the closed strip.) Projecting that homotopy to the collar C we find that f is homotopic to the identity in X, contradicting the fact that f represents  $\tau(c)$ . This contradiction implies that  $c \in N$ . QED

## 7. The action of the outer automorphism group

Let  $\operatorname{Aut}(G_p)$  be the group of all automorphisms of the group  $G_p$ , and let  $\operatorname{Inn}(G_p)$  be the normal subgroup of inner automorphisms.  $\operatorname{Aut}(G_p)$  acts in an obvious way on the set of marked Schottky groups: if  $\theta: G_p \to G$  is a marked Schottky group and  $\alpha \in \operatorname{Aut}(G_p)$ , then  $\theta \cdot \alpha$  is the marked Schottky group  $\theta \circ \alpha: G_p \to G$ . This action obviously preserves equivalence classes and induces the action

(7.1) 
$$[\theta] \cdot \alpha = [\theta \circ \alpha] \quad \text{if } [\theta] \in S_p \quad \text{and } \alpha \in \operatorname{Aut}(G_p)$$

of  $\operatorname{Aut}(G_p)$  on  $S_p$ . The subgroup  $\operatorname{Inn}(G_p)$  acts trivially on  $S_p$ , so (7.1) defines an action of the outer automorphism group

$$\operatorname{Outer}\operatorname{Aut}(G_p) = \operatorname{Aut}(G_p) / \operatorname{Inn}(G_p)$$

on  $S_p$ . In terms of these actions Theorem 1 takes the concrete and explicit form

**Theorem 1'.** For each  $\alpha$  in  $\operatorname{Aut}(G_p)$  the self-mapping of  $S_p$  defined by (7.1) is biholomorphic. Every biholomorphic self-mapping of  $S_p$  has the form (7.1) for some  $\alpha$ .

The proof is simply a matter of being explicit about the action of  $\Gamma_p$  in Theorem 1. First we must describe the standard map of  $\mathcal{M}(X)$  onto  $S_p$ . Each  $\mu$ in  $\mathcal{M}(X)$  defines a new Riemann surface structure on X, which determines a new  $G_p$ -invariant Riemann surface structure on  $\Omega$  via the covering map  $\pi: \Omega \to X$ . We denote the resulting Riemann surfaces by  $\Omega^{\mu}$  and  $X^{\mu}$ . Since  $\pi: \Omega^{\mu} \to X^{\mu}$ is a Schottky covering there is a conformal mapping  $w^{\mu}$  of  $\Omega^{\mu}$  into the complex plane. The group  $G^{\mu} = w^{\mu}G_p(w^{\mu})^{-1}$  is a Schottky group, and the isomorphism

(7.2) 
$$g \mapsto \theta^{\mu}(g) = (w^{\mu}) \circ g \circ (w^{\mu})^{-1} \quad \text{if} \quad g \in G$$

defines a marked Schottky group. Its equivalence class  $[\theta^{\mu}]$  depends only on  $\mu$  because the conformal map  $w^{\mu}$  is unique up to composition with a Möbius transformation. The map  $\mu \mapsto [\theta^{\mu}]$  from  $\mathcal{M}(X)$  to  $S_p$  factors through  $T_p$  (=  $\mathcal{M}(X)/\text{Diff}_0(X)$ ) to produce Maskit's universal covering map (see [12] or [16]).

Recall that Diff<sup>+</sup>(X) acts on  $\mathcal{M}(X)$  by pullback: the map  $f: X^{\mu \cdot f} \to X^{\mu}$  is conformal for every f in Diff<sup>+</sup>(X) and  $\mu$  in  $\mathcal{M}(X)$ . The subgroup Diff<sup>+</sup>(X, N) acts on  $S_p$  by

(7.3) 
$$[\theta^{\mu}] \cdot f = [\theta^{\mu \cdot f}] \quad \text{if} \quad [\theta^{\mu}] \in S_p \quad \text{and} \quad f \in \text{Diff}^+(X, N).$$

The normal subgroup  $\text{Diff}_0(X, N)$  acts trivially, and (7.3) induces the action of the quotient group  $\Gamma_p$  in Theorem 1.

According to Theorem 1 every biholomorphic self-mapping of  $S_p$  has the form (7.3). To compute  $[\theta^{\mu \cdot f}]$  for f in Diff<sup>+</sup>(X, N) we choose a lift  $\tilde{f}: \Omega \to \Omega$  and observe that  $\tilde{f}: \Omega^{\mu \cdot f} \to \Omega^{\mu}$  is conformal. Therefore  $w^{\mu} \circ \tilde{f}$  maps  $\Omega^{\mu \cdot f}$  conformally into the complex plane, so

$$\theta^{\mu \cdot f}(g) = w^{\mu} \circ (\tilde{f} \circ g \circ \tilde{f}^{-1}) \circ (w^{\mu})^{-1} = \theta^{\mu} (\tilde{f} \circ g \circ \tilde{f}^{-1})$$

for all g in G. Thus (7.3) takes the form

(7.3') 
$$[\theta^{\mu}] \cdot f = [\theta^{\mu} \circ \alpha_{\tilde{f}}] \quad \text{if} \quad [\theta^{\mu}] \in S_p \quad \text{and} \quad f \in \text{Diff}_{+}(X, N),$$

where  $\alpha_{\tilde{f}}$  in  $\operatorname{Aut}(G_p)$  is the automorphism  $g \mapsto \tilde{f} \circ g \circ \tilde{f}^{-1}$ . We see that every biholomorphic self-mapping of  $S_p$  is indeed of the form (7.1).

To verify that all the maps (7.1) are biholomorphic we must show that every  $\alpha$  in Aut $(G_p)$  is of the form  $\alpha_{\tilde{f}}$ . That is the content of Chuckrow's observation (Theorem 2 of [6]), which for any given  $\alpha$  guarantees the existence of a sensepreserving diffeomorphism  $\tilde{f}: \Omega \to \Omega$  such that  $\alpha(g) = \tilde{f} \circ g \circ \tilde{f}^{-1}$  for all g in  $G_p$ . The map  $\tilde{f}$  covers a diffeomorphism  $f: X \to X$ . By definition,  $f \in \text{Diff}^+(X, N)$  and  $\alpha = \alpha_{\tilde{f}}$ . The proof is complete. **Remarks.** 1) The outer automorphism  $[\alpha_{\tilde{f}}]$  depends only on f, and the map  $f \mapsto [\alpha_{\tilde{f}}]$  induces an isomorphism between the groups  $\Gamma_p$  and Outer Aut $(G_p)$ .

2) It is a striking fact that every outer automorphism of  $G_p$  is induced by a sense-preserving diffeomorphism of X and that sense-reversing diffeomorphisms are not required. The geometric reason for this is that there is a sense-reversing diffeomorphism  $\tilde{f}: \Omega \to \Omega$  that commutes with the group  $G_p$ . This is easy to see if we take  $G_p$  to be a Fuchsian group of the second kind and  $\tilde{f}$  to be inversion in the fixed circle.

#### 8. Explicit formulas

Finally, we shall borrow a set of global coordinates for  $S_p$  from Hejhal [11] and give formulas in these coordinates for a set of generators of  $\operatorname{Aut}(S_p)$ . Following [11], for any marked Schottky group  $\theta: G_p \to G$  we put  $L_j = \theta(g_j)$  for  $1 \leq j \leq p$ and we set  $a_j, b_j$ , and  $\lambda_j$  equal to the attracting fixed point, repelling fixed point, and multiplier of  $L_j$ , defining the multiplier so that  $0 < |\lambda_j| < 1$ . Replacing  $\theta$ by an equivalent isomorphism, we can normalize the *p*-tuple  $(L_1, \ldots, L_p)$  so that  $a_1 = 0, a_2 = 1$ , and  $b_1 = \infty$ . The injective holomorphic map

$$[\theta] \mapsto (a_3, \ldots, a_p, b_2, \ldots, b_p, \lambda_1, \ldots, \lambda_p) \in \mathbf{C}^{3p-3}$$

then defines a global coordinate system for  $S_p$  (see [11] and [13]), allowing us to interpret  $S_p$  as a region in  $\mathbb{C}^{3p-3}$  and  $\operatorname{Aut}(S_p)$  as the group of biholomorphic self-mappings of that region.

Now every member of  $\operatorname{Aut}(S_p)$  is induced by an automorphism of the free group  $G_p$ , and a theorem of Nielsen (see Corollary N1 in Section 3.5 of [15]) implies that  $\operatorname{Aut}(G_p)$  is generated by these four automorphisms:

(8.1) 
$$\alpha_1(g_1) = g_p$$
, and  $\alpha_1(g_j) = g_{j-1}$  if  $j > 1$ ,

(8.2) 
$$\alpha_2(g_1) = g_2, \quad \alpha_2(g_2) = g_1, \quad \text{and} \quad \alpha_2(g_j) = g_j \quad \text{if} \quad j > 2,$$

(8.3) 
$$\alpha_3(g_1) = g_1^{-1}$$
, and  $\alpha_3(g_j) = g_j$  if  $j > 1$ ,

(8.4) 
$$\alpha_4(g_1) = g_1, \qquad \alpha_4(g_2) = g_2^{-1}g_1, \qquad \text{and} \qquad \alpha_4(g_j) = g_j \qquad \text{if} \quad j > 2.$$

We must calculate their effect on the region  $S_p$ .

The automorphism  $\alpha_1$  transforms  $(L_1, \ldots, L_p)$  to  $(L_p, L_1, \ldots, L_{p-1})$ . Conjugation by

(8.5) 
$$T(z) = \frac{b_p(z - a_p)}{a_p(z - b_p)}$$

produces the normalized *p*-tuple

$$(TL_pT^{-1}, TL_1T^{-1}, \dots, TL_{p-1}T^{-1})$$

and replaces each fixed point by its image under T, so  $\alpha_1$  induces the map

$$(8.1') \quad (a,b,\lambda) \mapsto \left(T(a_2),\ldots,T(a_{p-1}),T(b_1),\ldots,T(b_{p-1}),\lambda_p,\lambda_1,\ldots,\lambda_{p-1}\right).$$

(We use  $(a, b, \lambda)$  as an abbreviation for  $(a_3, \ldots, a_p, b_2, \ldots, b_p, \lambda_1, \ldots, \lambda_p)$ , and we remind the reader that  $a_2 = 1$  and  $b_1 = \infty$ .)

The automorphism  $\alpha_2$  produces the *p*-tuple  $(L_2, L_1, L_3, \ldots, L_p)$ , which is conjugated to normalized form by the transformation

(8.6) 
$$S(z) = \frac{b_2(z-1)}{z-b_2}$$

Therefore  $\alpha_2$  induces the map

$$(8.2') \quad (a,b,\lambda) \mapsto \left(S(a_3),\ldots,S(a_p),S(b_1),S(b_3),\ldots,S(b_p),\lambda_2,\lambda_1,\lambda_3,\ldots,\lambda_p\right).$$

The automorphism  $\alpha_3$  leads to  $(L_1^{-1}, L_2, L_3, \ldots, L_p)$ . The transformation  $L_1^{-1}$  has multiplier  $\lambda_1$ , attracting fixed point  $\infty$ , and repelling fixed point 0. Conjugation by  $z \mapsto 1/z$  produces a normalized *p*-tuple, so  $\alpha_3$  induces the map

(8.3') 
$$(a,b,\lambda) \mapsto \left(\frac{1}{a_3},\ldots,\frac{1}{a_p},\frac{1}{b_2},\ldots,\frac{1}{b_p},\lambda_1,\ldots,\lambda_p\right).$$

All this was very easy, but  $\alpha_4$  requires more effort. We must normalize the *p*-tuple  $(L_1, L_2^{-1}L_1, L_3, \ldots, L_p)$ , so we need to find  $a^*, b^*$ , and  $\lambda^*$ , the attracting fixed point, repelling fixed point, and multiplier of  $L_2^{-1}L_1$ . We know that  $L_1(z) = \lambda_1 z$  and that  $L_2$  is represented by the matrix

$$\begin{pmatrix} 1-b_2\lambda_2 & b_2(\lambda_2-1) \\ 1-\lambda_2 & \lambda_2-b_2 \end{pmatrix}$$

in  $GL(2, \mathbb{C})$ . The matrix

(8.7) 
$$A = \begin{pmatrix} \lambda_1(\lambda_2 - b_2) & b_2(1 - \lambda_2) \\ \lambda_1(\lambda_2 - 1) & 1 - b_2\lambda_2 \end{pmatrix}$$

then represents  $L_2^{-1}L_1$ . (Our matrices do not necessarily have determinant one.) The multiplier  $\lambda^*$  of  $L_2^{-1}L_1$  satisfies

$$\lambda^* + (\lambda^*)^{-1} + 2 = \operatorname{trace}(A)^2 / \det(A),$$

so it is a solution of the quadratic equation

(8.8) 
$$\lambda_1 \lambda_2 (b_2 - 1)^2 [(\lambda^*)^2 + 1] = [\lambda_1^2 (\lambda_2 - b_2)^2 + (1 - b_2 \lambda_2)^2 - 2b_2 \lambda_1 (\lambda_2 - 1)^2] \lambda^*.$$

In fact  $\lambda^*$  is the unique solution of (8.8) satisfying  $|\lambda^*| < 1$ . Thus  $\lambda^*$  is an algebraic, but not a rational, function of  $\lambda_1, \lambda_2$ , and  $b_2$ .

Since  $L_2^{-1}L_1$  is represented by the matrix A in (8.7), the product  $a^*b^*$  of its fixed points equals  $b_2/\lambda_1$ . Put  $\zeta = \lambda_1 b^*$ . Then  $\zeta a^* - b_2 = \zeta - \lambda_1 b^* = 0$ , so the matrix

$$B = \begin{pmatrix} \zeta & b_2 \\ \lambda_1 & \zeta \end{pmatrix} \begin{pmatrix} \lambda^* & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \zeta & -b_2 \\ -\lambda_1 & \zeta \end{pmatrix}$$
$$= \begin{pmatrix} \lambda^* \zeta^2 - b_2 \lambda_1 & b_2 (1 - \lambda^*) \zeta \\ \lambda_1 (\lambda^* - 1) \zeta & \zeta^2 - b_2 \lambda_1 \lambda^* \end{pmatrix}$$

also represents  $L_2^{-1}L_1$ .

Now the equation  $(\lambda_2 - 1)B = (\lambda^* - 1)\zeta A$  yields a pair of quadratic equations for  $\zeta$ . Eliminating  $\zeta^2$  between them we find that

$$\zeta = \frac{b_2 \lambda_1 (1 - \lambda_2) (\lambda^* + 1)}{(1 - b_2 \lambda_2) \lambda^* - \lambda_1 (\lambda_2 - b_2)},$$

(8.9) 
$$a^* = \frac{(1 - b_2 \lambda_2) \lambda^* - \lambda_1 (\lambda_2 - b_2)}{\lambda_1 (1 - \lambda_2) (\lambda^* + 1)},$$

 $\operatorname{and}$ 

(8.10) 
$$\frac{b^*}{a^*} = \frac{\lambda_1(b_2 - \lambda_2)\lambda^* + (1 - b_2\lambda_2)}{(1 - b_2\lambda_2)\lambda^* + \lambda_1(b_2 - \lambda_2)}$$

(To obtain (8.10) we use (8.8) to simplify the right hand side of the equation  $b^*/a^* = \zeta^2/b_2\lambda_1$ .) The map induced by  $\alpha_4$  is therefore

(8.4') 
$$(a,b,\lambda) \mapsto \left(\frac{a_3}{a^*}, \dots, \frac{a_p}{a^*}, \frac{b^*}{a^*}, \frac{b_3}{a^*}, \dots, \frac{b_p}{a^*}, \lambda_1, \lambda^*, \lambda_3, \dots, \lambda_p\right),$$

where  $\lambda^*$  is the unique solution of (8.8) with  $|\lambda^*| < 1$ ,  $a^*$  is given by (8.9), and  $b^*/a^*$  by (8.10).

We sum up our results in a final

**Proposition.** The group of all biholomorphic self-mappings of the region  $S_p$  in  $\mathbb{C}^{3p-3}$  is generated by the four transformations (8.1') through (8.4').

**Remark.** The special case p = 2 has particular interest, both because Kleinian groups with two generators have been much studied and because the results become simpler and even more explicit. Notice first that the automorphisms  $\alpha_1$  and  $\alpha_2$  coincide when p = 2, so  $\alpha_2, \alpha_3$ , and  $\alpha_4$  generate Aut $(G_2)$ . Secondly, another theorem of Nielsen (see Corollary N4 in Section 3.5 of [15]) tells us that Outer Aut $(G_2)$  is canonically isomorphic to the automorphism group of the abelianization of  $G_2$ , so Outer Aut $(G_2)$  is isomorphic to the group GL(2, Z) of two-by-two unimodular integer matrices. Finally, since every closed Riemann surface of genus two is hyperelliptic, the automorphism of  $G_2$  defined by  $g_j \mapsto g_j^{-1}$ , j = 1 or 2, acts trivially on the Schottky space  $S_2$ . Therefore the group of biholomorphic self-mappings of  $S_2$  is isomorphic to

$$PGL(2,Z) = GL(2,Z)/\{\pm I\}.$$

The generating matrices

$$\pm \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \pm \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \text{and} \quad \pm \begin{pmatrix} 1 & 1 \\ 0 & -1 \end{pmatrix}$$

correspond to the self-mappings

$$(b_2,\lambda_1,\lambda_2)\mapsto (b_2,\lambda_2,\lambda_1), \qquad (b_2,\lambda_1,\lambda_2)\mapsto \Big(\frac{1}{b_2},\lambda_1,\lambda_2\Big),$$

and

$$(b_2, \lambda_1, \lambda_2) \mapsto \left(\frac{b^*}{a^*}, \lambda_1, \lambda^*\right)$$

respectively, with  $\lambda^*$  and  $b^*/a^*$  determined as in (8.4').

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Cornell University Department of Mathematics Ithaca, NY 14853 U.S.A.

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