

## DIFFERENTIABLE TCHEBYCHEFF SUBSPACES AND HERMITE INTERPOLATION

WERNER HAUSSMANN

### 1. Introduction

Let  $m, n \in \mathbf{N}$ ,  $1 \leq m \leq n$ , and let  $E_n = (\varepsilon_{\mu\nu})_{1 \leq \mu \leq m, 0 \leq \nu \leq n-1}$  be an *incidence matrix* (cf. Schoenberg [12], Mäkelä—Nevanlinna—Sipilä [8]), i.e.  $\varepsilon_{\mu\nu} = 0$  or 1, and  $\sum_{\mu, \nu} \varepsilon_{\mu\nu} = n$ . Suppose, for simplicity, that no row is composed only of zeros. Given an interval  $I \subset \mathbf{R}$  with nonvoid interior  $\overset{\circ}{I}$ , interpolation nodes  $t_1 < t_2 < \dots < t_m$  in  $I$ , and an  $n$ -dimensional subspace  $U \subset C^r(I)$ ,  $r \in \mathbf{N}_0 := \mathbf{N} \cup \{0\}$ , then the incidence matrix  $E_n$  gives rise to the following *interpolation problem*: Does there exist a  $u \in U$  satisfying

$$(1) \quad u^{(\nu)}(t_\mu) = a_{\mu\nu}$$

for all  $(\mu, \nu)$  such that  $\varepsilon_{\mu\nu} = 1$  with arbitrarily given data  $a_{\mu\nu} \in \mathbf{R}$ ? The problem of unique solvability of this Birkhoff type interpolation problem has been treated by several authors for the case  $U = \Pi_{n-1}$  (polynomials of degree not exceeding  $n-1$ ), see e.g. the surveys of Sharma [13] or Lorentz [7].

In a recent paper, Mäkelä—Nevanlinna—Sipilä [8] put the following question: Given a fixed type of incidence matrix  $E_n$ , what are the properties of  $U \subset C^r(I)$  necessary and sufficient that the interpolation problem (1) be uniquely solvable (with respect to certain special or arbitrary nodes)? They considered various types of spaces  $U$  generalizing results of Matthews [9], Ikebe [3] (the latter treated general Birkhoff interpolation problems) as well as of [1]. In most of their results Mäkelä—Nevanlinna—Sipilä [8] make use of “*polynomial like*” spaces  $U$  for which the dimension of  $U$  is reduced by differentiation.

It is the topic of the present paper to investigate those  $n$ -dimensional subspaces  $U \subset C^r(I)$ ,  $r \in \mathbf{N}$ , whose *dimension is not necessarily reduced by differentiation* in order to get results for Hermite interpolation incidence matrices. In [1] we showed that the *osculatory Hermite interpolation problem* is uniquely solvable with respect to differentiable Tchebycheff subspaces. When proving this, one of the main problems was that — because of the lack of sufficient differentiability — the usual multiplicity notion for zeros of a function does not work. Thus we have to use a certain *multi-*

*plicity notion for  $r$ -times differentiable functions* in order to treat the general Hermite interpolation in  $C^r(I)$  here (cf. Mäkelä—Nevanlinna—Sipilä [8]). Then we give a characterization of Hermite subspaces which enables us to prove the main theorem on Hermite interpolation in Section 3. Some of these results were announced in [2]. In addition we can characterize *weakly differentiable Tchebycheff subspaces*  $U \subset C^r(I)$  of dimension  $n$  by means of a certain class of incidence matrices.

Other investigations on Hermite interpolation by nonpolynomials are due to Polya [10] and Karlin—Studden [6]. Some results on Birkhoff interpolation with respect to nonpolynomials go back to Karlin—Karon [4, 5].

## 2. A characterization of Hermite subspaces

In order to prove the results in Section 3 we need the notion of a *Hermite subspace* as well as a characterization of Hermite spaces which is established in this section.

Let  $n \in \mathbf{N}$ ,  $r \in \mathbf{N}_0$ , and  $I \subset \mathbf{R}$  an interval ( $I \neq \emptyset$ ). An  $n$ -dimensional subspace  $U \subset C^r(I)$  is called a *Hermite subspace of  $C^r(I)$*  provided that for any  $m \in \mathbf{N}$ ,  $m \leq n$ , any  $(\alpha_1, \dots, \alpha_m) \in \mathbf{N}_0^m$  satisfying  $\max_{1 \leq \mu \leq m} \alpha_\mu \leq r$  and  $\sum_{1 \leq \mu \leq m} (\alpha_\mu + 1) = n$ , and arbitrary interpolation nodes  $t_1 < t_2 < \dots < t_m$  in  $I$  the following Hermite interpolation problem is uniquely solvable:

Given any  $a_{\mu\tau} \in \mathbf{R}$  ( $0 \leq \tau \leq \alpha_\mu$ ,  $1 \leq \mu \leq m$ ), does there exist a  $u \in U$  satisfying

$$(2) \quad u^{(\tau)}(t_\mu) = a_{\mu\tau} \quad (0 \leq \tau \leq \alpha_\mu, 1 \leq \mu \leq m)?$$

The set of all  $n$ -dimensional Hermite subspaces of  $C^r(I)$  will be denoted by  $\mathcal{H}_n^r(I)$ .

In order to characterize Hermite subspaces we need the following *notion of multiplicity for zeros* of functions in  $C^r(I)$  which will be defined inductively (see Mäkelä—Nevanlinna—Sipilä [8] and [2]):

(i) Let  $x \in C^r(I)$ ,  $t \in I$ , then define

$$z_0(x, t) := \begin{cases} 1 & \text{if } x(t) = 0 \\ 0 & \text{if } x(t) \neq 0 \end{cases}$$

and, for  $1 \leq q \leq r$ :

$$z_q(x, t) := \begin{cases} z_{q-1}(x', t) + 1 & \text{if } x(t) = 0 \\ 0 & \text{if } x(t) \neq 0. \end{cases}$$

Then  $\mathcal{Z}_r(x) := \sum_{t \in I} z_r(x, t)$  is the number of all zeros of  $x \in C^r(I)$  where multiple zeros are counted according to this (weak) multiplicity notion.

(ii) In order to count *multiplicities of zeros in a strict sense*, let again  $x \in C^r(I)$ ,  $t \in I$ . Then define (cf. Rice [11])

$$\tilde{z}_0(x, t) := \begin{cases} 2 & \text{if } x(t) = 0, t \in I, \text{ and } t \text{ is an isolated zero where } x \text{ does} \\ & \text{not change sign} \\ 1 & \text{if } x(t) = 0, \text{ and } \tilde{z}_0(x, t) \neq 2 \\ 0 & \text{if } x(t) \neq 0 \end{cases}$$

and, for  $1 \leq \rho \leq r$ :

$$\tilde{z}_\rho(x, t) := \begin{cases} \tilde{z}_{\rho-1}(x', t) + 1 & \text{if } x(t) = 0 \\ 0 & \text{if } x(t) \neq 0. \end{cases}$$

The number of all zeros counted with this strict multiplicity notion will be denoted by  $\tilde{\mathcal{Z}}_r(x) := \sum_{t \in I} \tilde{z}_r(x, t)$ .

Note that we have  $0 \leq z_r(x, t) \leq r+1$ ,  $0 \leq \tilde{z}_r(x, t) \leq r+2$  for  $x \in C^r(I)$  and  $t \in I$ . In addition, for  $\tilde{z}_r(x, t) \leq r+1$ , we have  $\tilde{z}_r(x, t) = z_r(x, t)$ .

With these preparations we are able to prove the following theorem without the use of determinants by *interpolation theoretical means* only:

**Theorem 1.** *Let  $U \subset C^r(I)$  be an  $n$ -dimensional subspace ( $r \in \mathbb{N}_0$ ,  $n \in \mathbb{N}$ ,  $I \subset \mathbb{R}$  an interval with nonvoid interior). Then these assertions are equivalent:*

- (i)  $U$  is an  $n$ -dimensional Hermite subspace of  $C^r(I)$ ,
- (ii) For any  $u \in U$ ,  $u \neq 0$ , we have:  $\mathcal{Z}_r(u) \leq n-1$ ,
- (iii) For any  $u \in U$ ,  $u \neq 0$ , we have:  $\tilde{\mathcal{Z}}_r(u) \leq n-1$ .

*Proof.* (i) $\Rightarrow$ (ii): Let  $U$  be an  $n$ -dimensional subspace of  $C^r(I)$ , and suppose there exists a  $u_0 \in U$ ,  $u_0 \neq 0$ , such that  $\mathcal{Z}_r(u_0) \geq n$ . We can assume that  $u_0$  possesses only a finite number of zeros  $t_1, \dots, t_k \in I$  ( $k \leq n-1$ ); otherwise  $u_0$  would be a nontrivial solution of the Lagrange interpolation problem  $u(s_\nu) = 0$  for  $n$  or more points  $s_\nu \in I$ .

Thus let  $z_r(u_0, t_x) = \beta_x + 1$  ( $1 \leq x \leq k$ ), and define

$$m := \min \left\{ l \in \mathbb{N} : \sum_{1 \leq \lambda \leq l} z_r(u_0, t_\lambda) \geq n \right\}.$$

Now put  $\alpha_\mu = \beta_\mu$  ( $1 \leq \mu \leq m-1$ ), and  $\alpha_m = n - \sum_{1 \leq \mu \leq m-1} z_r(u_0, t_\mu) - 1$ . Then the Hermite interpolation problem

$$u^{(\tau)}(t_\mu) = 0 \quad (0 \leq \tau \leq \alpha_\mu, 1 \leq \mu \leq m)$$

has the nontrivial solution  $u_0$  which contradicts the fact that a Hermite subspace yields a unique solution of any Hermite interpolation problem involving derivative conditions up to order  $r$ .

(ii) $\Rightarrow$ (i): Now suppose  $\mathcal{Z}_r(u) \leq n-1$  for any  $u \in U$ ,  $u \neq 0$ , and let an interpolation problem of type (2) with nodes  $t_1 < t_2 < \dots < t_m$  in  $I$  be given. It suffices to show that the homogeneous problem corresponding to (2) has the trivial solution only.

Any solution  $u \in U$  of this problem possesses zeros of weak multiplicities  $\cong \alpha_\mu + 1$  at  $t_\mu$ , thus we have

$$\sum_{t \in I} z_r(u, t) \cong \sum_{1 \leq \mu \leq m} z_r(u, t_\mu) \cong \sum_{1 \leq \mu \leq m} (\alpha_\mu + 1) = n,$$

hence  $u=0$  by (ii). This yields (i).

Since (iii) $\Rightarrow$ (ii) is obvious, we only have to prove the converse direction. It turns out to be convenient to show that the equivalent statements (i) and (ii) together imply (iii).

(i) + (ii) $\Rightarrow$ (iii): Assume there is a  $u_0 \in U$ ,  $u_0 \neq 0$ , such that  $\tilde{\mathcal{Z}}_r(u_0) \cong n$ . Since (ii) holds,  $u_0$  has at most  $k \cong n - 1$  zeros  $t_1 < t_2 < \dots < t_k$  in  $I$ . The assertion (ii) implies, too, that there is at least one of the  $t_\mu$ 's, say  $t_{\mu_0}$ , such that  $\tilde{z}_r(u_0, t_{\mu_0}) = r + 2$ , which means that

$$M' := \{\varkappa: 1 \leq \varkappa \leq k, \tilde{z}_r(u_0, t_\varkappa) = r + 2\} \neq \emptyset.$$

Define

$$\sigma_\varkappa := \operatorname{sign} u_0^{(r)}(t) \quad \text{for } \varkappa \in M'$$

where  $U(t_\varkappa) := \{t \in I: t_\varkappa - \varepsilon < t < t_\varkappa + \varepsilon\} \setminus \{t_\varkappa\}$  with a sufficiently small  $\varepsilon > 0$  so that  $\sigma_\varkappa$  is well defined (i.e. the restriction of  $u_0^{(r)}$  to  $U(t_\varkappa)$  has constant sign). Let  $M := \{1, \dots, k\} \setminus M'$ , and define  $v_0 \in U$  as the unique solution of the following Hermite interpolation problem (according to (i)):

$$\begin{aligned} v_0^{(\alpha)}(t_\varkappa) &= 0 & \text{for } \varkappa \in M, \quad 0 \leq \alpha \leq z_r(u_0, t_\varkappa) - 1, \\ v_0^{(\alpha)}(t_\varkappa) &= 0 & \text{for } \varkappa \in M', \quad 0 \leq \alpha \leq r - 1, \\ v_0^{(r)}(t_\varkappa) &= -\sigma_\varkappa & \text{for } \varkappa \in M', \end{aligned}$$

and, since  $q := \sum_{\varkappa \in M} z_r(u_0, t_\varkappa) + \sum_{\varkappa \in M'} (r + 1) < n$ , choose  $p := n - q$  points  $s_\pi$  different from each other and from the  $t_\varkappa$ 's, and complete the interpolation conditions above by

$$v_0(s_\pi) = 0 \quad \text{for } 1 \leq \pi \leq p.$$

Since  $M' \neq \emptyset$ , we have  $v_0 \neq 0$ . Now we consider  $w_0 := u_0 + \eta v_0$  with  $\eta > 0$  sufficiently small, thus  $w_0 \neq 0$ . By construction, we have

$$z_r(w_0, t_\varkappa) \cong z_r(u_0, t_\varkappa) \quad \text{for } \varkappa \in M$$

and

$$z_r(w_0, t_\varkappa) \cong r \quad \text{for } \varkappa \in M',$$

and with a sufficiently small  $\eta > 0$  for any  $\varkappa \in M'$  there are points  $\tau_\varkappa^+$  and  $\tau_\varkappa^-$  (satisfying  $\tau_\varkappa^- < t_\varkappa < \tau_\varkappa^+$ ) in a neighbourhood of  $t_\varkappa$  such that  $\{t: \tau_\varkappa^- < t < \tau_\varkappa^+\} \nabla t_\mu, \tau_\mu^+, \tau_\mu^-, s_\pi$  for  $1 \leq \mu \leq k$ ,  $\mu \neq \varkappa$ , and  $1 \leq \pi \leq p$ , satisfying

$$z_r(w_0, \tau_\varkappa^+) \cong 1, \quad z_r(w_0, \tau_\varkappa^-) \cong 1.$$

Hence we get

$$\mathcal{Z}_r(w_0) \cong \sum_{\varkappa \in M} z_r(w_0, t_\varkappa) + \sum_{\varkappa \in M'} (r + 2) = \tilde{\mathcal{Z}}_r(u_0) \cong n$$

while  $w_0 \neq 0$ . Since  $w_0 \in U$ , this contradicts (ii). Thus  $\mathcal{Z}_r(u) \leq n-1$  for all  $u \in U$ ,  $u \neq 0$ .  $\square$

We remark that Theorem 1 also can be obtained with the aid of results due to Mäkelä—Nevanlinna—Sipilä [8] using a characterization of Hermite subspaces in terms of determinant conditions.

If we assume  $U$  being an  $n$ -dimensional space of sufficiently differentiable functions (and not only  $U \subset C^r(I)$ ) then Theorem 1 reduces to a result of Karlin—Studden [6].

### 3. Differentiable Tchebycheff subspaces

We are going to prove sufficient criteria to guarantee unique Hermite interpolation within the framework of *differentiable Tchebycheff subspaces*. The latter ones will be defined by properties of the number of zeros of a function without using multiplicities of zeros. But when proving the existence and uniqueness Theorem 3 the multiplicities introduced in Section 2 will play an important role.

Given a subspace  $U \subset C^r(I)$ ,  $I \subset \mathbf{R}$  an interval satisfying  $I \neq \emptyset$ , we define  $U^{(q)} := \{w : w \text{ is derivative of order } q \text{ of some } u \in U\}$  for  $1 \leq q \leq r \in \mathbf{N}$ . In the case  $q=0$  we have  $U^{(0)} := U$ . Finally, let  $n_q := \dim U^{(q)}$  for  $1 \leq q \leq r$ , and  $n_0 := n = \dim U$ .

**Definition 2.** Let  $n, r \in \mathbf{N}$ ,  $I \subset \mathbf{R}$  an interval with nonvoid interior, and  $U \subset C^r(I)$  an  $n$ -dimensional subspace.

(i)  $U$  is called an  *$r$ -times differentiable Tchebycheff subspace of dimension  $n$*  if for  $0 \leq q \leq r$  the following condition holds: Any  $u \in U^{(q)}$ ,  $u \neq 0$ , possesses at most  $n_q - 1$  zeros in  $I$  (without counting multiplicities).

(ii) An  $r$ -times differentiable Tchebycheff subspace  $U$  of dimension  $n$  is called  $\alpha$ ) an  *$r$ -times strictly differentiable Tchebycheff subspace* if

$$r \leq n \quad \text{and} \quad \dim U^{(q)} = n - q \quad (0 \leq q \leq r),$$

$\beta$ ) an  *$r$ -times weakly differentiable Tchebycheff subspace* if

$$\dim U^{(q)} = n \quad (0 \leq q \leq r).$$

In order to describe certain interpolation problems we are going to introduce incidence matrices of type  $\mathcal{T}_n(s, r)$ . Given an incidence matrix  $E_n = (\varepsilon_{\mu\nu})_{1 \leq \mu \leq m, 0 \leq \nu \leq n-1}$  (see Section 1), and  $s \in \mathbf{N}_0$ ,  $r \in \mathbf{N}$  such that  $0 \leq s \leq r \leq n-1$ , then we put

$$m_\nu := \{\mu : \varepsilon_{\mu\nu} = 1 \ (1 \leq \mu \leq m)\} \quad \text{for} \quad 0 \leq \nu \leq n-1.$$

An incidence matrix  $E_n$  (or the corresponding interpolation problem with respect to some interpolation nodes  $t_\mu$  ( $1 \leq \mu \leq m$ )) will be called of *type  $\mathcal{T}_n(s, r)$*  if the fol-

lowing conditions hold:

$$\begin{aligned} m_0 &= m_1 = \dots = m_{s-1} = \emptyset, \\ m_s &\supset m_{s+1} \supset \dots \supset m_r, \quad m_s \neq \emptyset, \\ m_{r+1} &= m_{r+2} = \dots = m_{n-1} = \emptyset. \end{aligned}$$

For  $s=0$  we have a *Hermite interpolation incidence matrix*, whereas  $s>0$  yields the incidence matrix of a “*shifted*” *Hermite problem*.

We are now coming to state the main result of this paper, where we shall use the following notation. Let  $E_n$  be an incidence matrix, and  $t_1 < t_2 < \dots < t_m$  interpolation nodes in the interval  $I \subset \mathbf{R}$ . Then we define

$$M_v := \{t_\mu: \varepsilon_{\mu v} = 1 \ (1 \leq \mu \leq m)\} \quad \text{for } 0 \leq v \leq n-1.$$

Thus in the case of an incidence matrix of type  $\mathcal{T}_n(0, r)$ ,  $0 \leq r \leq n-1$ , we have

$$\emptyset \neq M_0 \supset M_1 \supset \dots \supset M_r, \quad M_{r+1} = \dots = M_{n-1} = \emptyset.$$

**Theorem 3.** *Let  $n \in \mathbf{N}$ ,  $r \in \mathbf{N}_0$ , and  $U \subset C^r(I)$  be an  $n$ -dimensional subspace ( $I \subset \mathbf{R}$  an interval satisfying  $\dot{I} \neq \emptyset$ ). Suppose one of the following conditions holds:*

- (i)  *$U$  is an  $r$ -times strictly differentiable Tchebycheff subspace of  $C^r(I)$ .*
- (ii)  *$U$  is an  $r$ -times differentiable Tchebycheff subspace, and we have  $M_r \subset \dot{I}$ .*
- (iii)  *$U$  is obtained as the restriction to  $I$  of an  $r$ -times differentiable Tchebycheff subspace  $\tilde{U} \subset C^r(J)$  of dimension  $n$  where  $I \subset \dot{J}$ .*

*Then in either case  $U$  is an  $n$ -dimensional Hermite subspace of  $C^r(I)$ .*

*Proof.* (i) According to Theorem 1 we have to show (by induction) that if  $U$  is an  $r$ -times strictly differentiable Tchebycheff subspace of dimension  $n$  then for any  $u \in U$ ,  $u \neq 0$ , we have  $\mathcal{Z}_r(u) \leq n-1$ .

The case  $r=0$  is obvious. Thus let  $r>0$ , and suppose that the foregoing implication holds true for any  $q$ -times strictly differentiable Tchebycheff subspace ( $0 \leq q \leq r-1$ ) of any fixed dimension  $d \in \mathbf{N}$  satisfying  $q \leq d$ .

Let  $U$  be an  $r$ -times strictly differentiable Tchebycheff subspace of dimension  $n$ , and suppose there exists a  $u \in U$ ,  $u \neq 0$ , satisfying  $\mathcal{Z}_r(u) = p \geq n$ . Then  $u$  possesses only a finite number of zeros, say  $t_1, \dots, t_l$ , in  $I$ . Introducing  $\beta_\lambda := z_r(u, t_\lambda)$  for  $1 \leq \lambda \leq l$ , we have  $p = \sum_{1 \leq \lambda \leq l} \beta_\lambda$ . By definition of the weak multiplicity of zeros, the derivative  $u'$  satisfies

$$z_{r-1}(u', t_\lambda) = \beta_\lambda - 1 \quad (1 \leq \lambda \leq l).$$

In addition, Rolle's theorem yields the existence of  $l-1$  further zeros in the open intervals  $]t_\lambda, t_{\lambda+1}[$  for  $1 \leq \lambda \leq l-1$ . Thus

$$\mathcal{Z}_{r-1}(u') \geq \sum_{1 \leq \lambda \leq l} (\beta_\lambda - 1) + l - 1 = p - 1 \geq n - 1.$$

Now  $U^{(1)}$  is an  $(r-1)$ -times strictly differentiable Tchebycheff subspace of dimension  $n-1$  which yields  $u' = 0$ , hence  $u = 0$ , which is a contradiction. Thus  $U \in \mathcal{H}_n^r(I)$ .

The result that condition (i) implies  $U$  being an  $n$ -dimensional Hermite subspace of  $C^r(I)$  is covered by a theorem of Ikebe [3].

(ii) Again, we prove the assertion by induction with respect to  $r$ . The case  $r=0$  is immediate. Thus assume  $r>0$ , and suppose the statement holds true for  $0 \leq \varrho \leq r-1$  and arbitrary dimension of  $U$ .

Now suppose  $U$  is an  $r$ -times differentiable Tchebycheff subspace of dimension  $n$ . Let  $m$  interpolation nodes  $t_1 < t_2 < \dots < t_m$  in  $I$  be given as well as nonnegative integers  $\alpha_\mu$  ( $1 \leq \mu \leq m$ ) satisfying  $\max_{1 \leq \mu \leq m} \alpha_\mu \leq r$  and  $\sum_{1 \leq \mu \leq m} (\alpha_\mu + 1) = n$ . It is sufficient to show that the homogeneous interpolation problem

$$(3) \quad u^{(\tau)}(t_\mu) = 0 \quad (0 \leq \tau \leq \alpha_\mu, 1 \leq \mu \leq m)$$

has the trivial solution  $u_0=0$  only.

For any solution  $u$  of (3) we have  $z_r(u, t_\mu) \geq \alpha_\mu + 1$ . Since  $u$  vanishes at  $t_1, \dots, t_m$  in  $I$ , by Rolle's theorem,  $u'$  possesses  $m-1$  further zeros  $\tau_\mu \in ]t_\mu, t_{\mu+1}[$  ( $1 \leq \mu \leq m-1$ ). Thus we have

$$\tilde{\mathcal{L}}_{r-1}(u') \geq \sum_{1 \leq \mu \leq m} \alpha_\mu + (m-1) = n-1.$$

Now we have to consider two cases:

( $\alpha$ ) If  $\dim U^{(1)} = n-1$ , then as in the proof of (i) we have  $u=0$ .

( $\beta$ ) Thus suppose  $\dim U^{(1)} = n$ . If there is a  $t_{\mu_0} \in M_r$  ( $\subset \overset{\circ}{I}$  by hypothesis) such that  $\tilde{z}_{r-1}(u', t_{\mu_0}) = r+1$ , then we have

$$\tilde{\mathcal{L}}_{r-1}(u') \geq \sum_{\substack{\mu=1 \\ \mu \neq \mu_0}}^m \alpha_\mu + (m-1) + (r+1) \geq n$$

since  $\alpha_{\mu_0} = r$ . By Theorem 1 and the induction hypothesis we have  $u'=0$ , hence  $u=0$ . Therefore we can assume that for any  $t_\mu \in M_r$  we have

$$\tilde{z}_{r-1}(u', t_\mu) = r.$$

Hence

$$\tilde{z}_r(u, t_\mu) = r+1,$$

which (since  $M_r \subset \overset{\circ}{I}$ ) implies that

$$\tilde{z}_{r-1}(u, t_\mu) = r+1 \quad (t_\mu \in M_r).$$

In addition, for all  $1 \leq \mu \leq m$

$$\tilde{z}_{r-1}(u, t_\mu) \geq \alpha_\mu + 1$$

holds true. From this we get

$$\tilde{\mathcal{L}}_{r-1}(u) = \sum_{1 \leq \mu \leq m} \tilde{z}_{r-1}(u, t_\mu) \geq \sum_{t_\mu \notin M_r} (\alpha_\mu + 1) + \sum_{t_\mu \in M_r} (r+1) = \sum_{1 \leq \mu \leq m} (\alpha_\mu + 1) = n.$$

Hence  $u=0$  by induction hypothesis and Theorem 1.

(iii) In this case it follows that  $M_r \subset I \subset \overset{\circ}{J}$ . Thus (ii) is applicable for  $\tilde{U}$  instead of  $U$ . Hence there exists a unique  $\tilde{u} \in \tilde{U}$  which solves the given interpolation problem

of type  $\mathcal{T}_n(0, r)$ . Its restriction to  $I$ ,  $u := \tilde{u}|I$ , is the unique solution of (3) with respect to  $U$ .  $\square$

Theorem 3 yields the following generalization of a theorem of Mäkelä—Nevanlinna—Sipilä [8]:

*Corollary. Let  $U$  be an  $n$ -dimensional subspace of  $C^r(I)$ ,  $I$  an open real interval. Suppose  $U, U^{(1)}, \dots, U^{(r)}$  provide a unique solution for any interpolation problem of type  $\mathcal{T}_n(0, 0)$ . Then any interpolation problem of type  $\mathcal{T}_n(0, r)$  possesses a unique solution with respect to  $U$ .*

#### 4. A characterization of $r$ -times weakly differentiable Tchebycheff subspaces

The following *interpolation theoretical characterization of Tchebycheff subspaces* of  $C(I)$  is well known (it is an immediate consequence of Theorem 1 for  $r=0$ ):

*An  $n$ -dimensional subspace  $U \subset C(I)$ ,  $I$  a nontrivial interval, is a Tchebycheff subspace if and only if any interpolation problem of type  $\mathcal{T}_n(0, 0)$  (i.e. Lagrange interpolation problem) with nodes in  $I$  possesses a unique solution.*

Now we are going to consider a corresponding *characterization of  $r$ -times weakly differentiable Tchebycheff subspaces* defined on an open interval. The interpolation problems which are needed for this characterization turn out to be of *Birkhoff type* (see e.g. Schoenberg [12]).

**Theorem 4.** *Let  $U \subset C^r(I)$  be an  $n$ -dimensional subspace,  $I \subset \mathbf{R}$  an open interval.  $U$  is an  $r$ -times weakly differentiable Tchebycheff subspace if and only if any interpolation problem of type  $\mathcal{T}_n(s, r)$  possesses a unique solution with respect to  $U$  for any  $0 \leq s \leq r$ .*

*Proof.* Let  $U$  be an  $r$ -times weakly differentiable Tchebycheff subspace of dimension  $n$ . Then for  $0 \leq s \leq r$  the spaces  $U^{(s)}$  are  $(r-s)$ -times weakly differentiable Tchebycheff subspaces of dimension  $n$ . By Theorem 3, any interpolation problem of type  $\mathcal{T}_n(0, r-s)$  possesses a unique solution with respect to  $U^{(s)}$  given any nodes  $t_1 < t_2 < \dots < t_m$  in  $I$  and arbitrary interpolation data. This means that any interpolation problem of type  $\mathcal{T}_n(s, r)$  has a unique solution with respect to  $U$  for  $0 \leq s \leq r$ .

Conversely, let any interpolation problem of type  $\mathcal{T}_n(s, r)$  be uniquely solvable with respect to  $U$  ( $0 \leq s \leq r$ ). Then, in particular, any *shifted Lagrange interpolation problem*

$$u^{(s)}(t_v) = a_v \quad (1 \leq v \leq n)$$

possesses a unique solution with respect to  $U$ , hence  $U^{(s)}$  is an  $n$ -dimensional Tchebycheff subspace for  $0 \leq s \leq r$  which follows from Theorem 1 and Definition 2.  $\square$

Examples of  $r$ -times weakly differentiable Tchebycheff subspaces are given by certain *families of exponential functions* (see [2]).



## References

- [1] HAUSSMANN, W.: On interpolation with derivatives. - *SIAM J. Numer. Anal.* 8, 1971, 483—485.
- [2] HAUSSMANN, W.: Hermite-Interpolation mit Čebyšev-Unterräumen. - *Numerische Methoden der Approximationstheorie 1*, Internat. Schriftenreihe zur Numer. Math. 16, 49—55, Birkhäuser, Basel—Stuttgart, 1972.
- [3] IKEBE, Y.: Hermite—Birkhoff interpolation problems in Haar subspaces. - *J. Approximation Theory* 8, 1973, 142—149.
- [4] KARLIN, S., and J. M. KARON: Poised and non-poised Hermite—Birkhoff interpolation. - *Indiana Univ. Math. J.* 21, 1972, 1131—1170.
- [5] KARLIN, S., and J. M. KARON: On Hermite—Birkhoff interpolation. - *J. Approximation Theory* 6, 1972, 90—115.
- [6] KARLIN, S., and W. J. STUDDEN: Tchebycheff systems: with applications in analysis and statistics. - Interscience Publishers, New York—London—Sydney, 1966.
- [7] LORENTZ, G. G.: Birkhoff interpolation problem. - University of Texas, Center for Numerical Analysis, Report CNA-103, Austin, 1975.
- [8] MÄKELÄ, M., O. NEVANLINNA, and A. H. SİPİLÄ: On some generalized Hermite—Birkhoff interpolation problems. - *Ann. Acad. Sci. Fenn. Ser. A I* 563, 1974, 1—13.
- [9] MATTHEWS, J. W.: Interpolation with derivatives. - *SIAM Rev.* 12, 1970, 127—128.
- [10] POLYA, G.: On the mean value theorem corresponding to a given linear homogeneous differential equation. - *Trans. Amer. Math. Soc.* 24, 1922, 312—324.
- [11] RICE, J. R.: The approximation of functions. Vol. 1. Linear theory. - Addison-Wesley, Reading, Mass.—Palo Alto—London, 1964.
- [12] SCHOENBERG, I. J.: On Hermite—Birkhoff interpolation. - *J. Math. Anal. Appl.* 16, 1966, 538—543.
- [13] SHARMA, A.: Some poised and nonpoised problems of interpolation. - *SIAM Rev.* 14, 1972, 129—151.

University of Duisburg  
Department of Mathematics  
D-4100 Duisburg 1  
BRD

Received 12 December 1977